

# Duluth Transit Authority REQUEST for BIDS

FOR Security Services

January 29, 2024

### **Duluth Transit Authority**

2402 W. Michigan St · Duluth, MN 55806

(218) 623-4329 fax: (218) 722-4428 email: nbrown@duluthtransit.com

### Duluth Transit Authority Request for Bids Security Services

The Duluth Transit Authority ("DTA") hereby requests bids for onsite security services at DTA properties. Specifications are available at the DTA and may be emailed or mailed to prospective contractors, contact (218) 623-4329 or <a href="mailto:nbrown@duluthtransit.com">nbrown@duluthtransit.com</a>.

Responses must be received no later than 2:00 p.m. on Tuesday, February 20, 2024.

The DTA is committed to ensuring that no person is excluded from participation in, or denied the benefits of its programs and services on the basis of race, creed, color, national origin, sex, age, disability, or veteran's status, and encourages the participation of small and disadvantaged business enterprises in the performance of this contract. The DTA reserves the right to accept or reject any and/or all bids in the best interest of the DTA.

This request does not obligate the DTA to complete the work contemplated in this notice and DTA reserves the right to accept or reject any or all bids or waive any minor informalities for good cause upon a finding that it is in the public interest to do so. All expenses incurred in response to this notice shall be borne by the responder.

### Duluth Transit Authority Security Services

# 041-24-0030

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### Section 1 General Conditions

### A REQUEST FOR BIDS

- 1) The DTA is requesting Bids for onsite security services for the Duluth Transportation Center located at 228 West Michigan Street, and Transit Center East, located at 214 West Superior Street, Duluth, MN 55806.
- 2) Bids shall be on the proposed rates and costs for the project under the requirements and conditions set forth herein.
- 3) Bid prices shall be good for 90 days after the Bid due date.
- The DTA shall not be under any obligation for payment of precontractual expenses, including expenses for preparing or submitting a Bid in response to this request, negotiating with the DTA on any matter related to this Request For Bids ("RFB"), and/or other expenses incurred by the Respondent prior to the date of award.
- 5) Bids are due at **2:00 p.m.** on **Tuesday**, **February 20**, **2024**, via email (preferred) as required herein.
- The DTA will hold a <u>prebid conference</u> on <u>Tuesday</u>, <u>February 6</u>, <u>2024</u>, at <u>11:00 a.m.</u> at the <u>Duluth Transportation Center</u>, 228 West Michigan Street, Duluth, MN 55806. A tour of the building will follow. Attendance is not mandatory, but strongly encouraged.
- 7) The DTA intends to award the contract to the responsible Respondent as early as February 28, 2024.
- 8) Throughout these specifications the words "equipment", "materials", and "work" can be interpreted as interchangeable.
- 9) All inquiries and other correspondence relating to this RFB shall be with the DTA Procurement Office via email at <a href="mailto:nbrown@duluthtransit.com">nbrown@duluthtransit.com</a>. Requests for clarifications, protests of specifications or other inquiries must be submitted in writing via email no later than 2:00 p.m. on Wednesday, February 14, 2024. Responses will be provided in an addendum to the RFB on Thursday, February 15, 2024, on the DTA website at <a href="https://www.duluthtransit.com">www.duluthtransit.com</a>.
- 10) The DTA will make the award to the responsible Bidder whose Bid is most advantageous to the DTA. The DTA may not necessarily make an award to the Bidder with the highest technical ranking nor to the Bidder with the lowest price proposal, if doing so would not be in the best interest of the DTA.
- Bids must be submitted on the forms attached. Bids shall not stipulate any condition not contained in the specifications and other documents submitted for review. Bid shall be emailed in a .PDF attachment with the subject line, "Security Services" to <a href="mailto:nbrown@duluthtransit.com">nbrown@duluthtransit.com</a>.
- 12) Bids must be received by the deadline. Time means local time in Duluth, Minnesota. Bids received after such time will not be considered. The DTA reserves the right to accept or reject any and/or all Bids in the best interest of the DTA.
- 13) No bonds are required for this project.
- The Bid price shall include all items of services, labor, material, alterations, tools, equipment and other costs necessary to fully perform the services pursuant to these specifications. It is the intention of these specifications to provide and require complete services of the type prescribed herein. Any items omitted from the specifications which are clearly necessary for the performance of the work shall be considered included in the Bid although not directly specified or called for in these specifications. No advantage shall be taken by the Bidder in the omission of any part or detail which goes to perform the services as herein required.
- 15) Contractor shall review the DTA's Vendor Code of Ethics, Organizational Conflict of Interest, and protest procedures on the DTA website at <a href="www.duluthtransit.com">www.duluthtransit.com</a>.

  Protests to this procurement will only be accepted from prospective bidders or offerors whose direct economic interest would be affected by the award.
- The DTA is exempt from payment of the Federal excise, transportation tax, Minnesota State sales tax and City of Duluth City sales tax.

- 17) The Minnesota Department of Transportation is providing financial assistance for the first year of this Contract, grant number #1054507, in the estimated amount of \$185,000.
- The Bidder shall disclose all subcontractors and their involvement in the project at the time of submittal. The DTA, at its sole discretion, retains the right to refuse any and all subcontractors at any time during the performance of this Contract. The Contractor shall insert the required Federal and State provisions in every subcontract.
- 19) In accordance with Minnesota §181.59, "DISCRIMINATION ON ACCOUNT OF RACE, CREED OR COLOR PROHIBITED IN CONTRACT" The Contractor hereby agrees to, and shall cause the following to be inserted in every subcontract:
  - (1) that, in the hiring of common or skilled labor for the performance of any work under any contract or subcontract, no contractor, material supplier or vendor, shall, by reason of race, creed, or color, discriminate against the person or persons who are citizens of the United States or resident aliens who are qualified and available to perform the work to which the employment relates:
  - (2) that no contractor, material supplier, or vendor, shall, in any manner, discriminate against, or intimidate, or prevent the employment of any person or persons identified in clause (1) of this section, or on being hired, prevent, or conspire to prevent, the person or persons from the performance of work under any contract on account of race, creed, or color;
  - (3) that a violation of this section is a misdemeanor; and
  - (4) that this contract may be canceled or terminated by the state, county, city, or the Duluth Transit Authority, or any other person authorized to grant the contracts for employment, and all money due, or to become due under the contract, may be forfeited for a second or any subsequent violation of the terms or conditions of this contract.

### SIGNIFICANT DATES OF PROCUREMENT

<u> </u>					
Item	Date	Time			
Date of Release	January 29, 2024				
Prebid Meeting	February 6, 2024	11:00 a.m.			
Request for Clarifications	February 14, 2024	2:00 p.m.			
Response to Clarifications	February 15, 2024	1:00 p.m.			
Bid Opening	February 20, 2024	2:00 p.m.			
Award	February 28, 2024				

### Section 2 FEDERAL TRANSIT ADMINISTRATION

### **Contract Clauses**

### A.1 ACCESS TO RECORDS 49 U.S.C. § 5325(g)

- a. <u>Records Retention.</u> The Contractor will retain, and will requires its subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the contract; including, but not limited to, data, documents, reports, statistics, sub-agreements, leases, subcontracts, arrangements, other third party agreements of any type, and supporting materials related to those records.
- b. Retention Period. The Contractor agrees to comply with the record retention requirements in accordance with 2 C.F.R. § 200.333. The Contractor shall maintain all books, records, accounts and reports required under this Contract for a period of not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation claims or exceptions related thereto.
- c. <u>Access to Records.</u> The Contractor agrees to provide sufficient access to FTA and its contractors to inspect and audit records and information related to performance of this contract as reasonably may be required.
- d. <u>Access to the Sites of Performance</u>. The Contractor agrees to permit FTA and its contractors access to the sites of performance under this Contract as reasonably may be required.

### A.2 BONDING REQUIREMENTS 2 CFR §200.325, 31 CFR Part 223

Does not apply to this procurement

### A.3 BUS TESTING 49 U.S.C. 5318(E), 49 CFR Part 665

Does not apply to this procurement

### A.4 BUY AMERICA REQUIREMENTS

49 U.S.C. 5323 (J), 49 CFR Part 661

Does not apply to this procurement

### **A.5 CARGO PREFERENCE REQUIREMENTS**

46 U.S.C. §55.05 46 C.F.R. Part 381

Does not apply to this procurement

#### A.6 CHARTER SERVICE

49 U.S.C.5323(d) and (r) 49 C.F.R. Part 604 Does not apply to this procurement

### A.7 CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

49 U.S.C §§7401-7671q 33 U.S.C §§1251-1387 2 C.F.R. Part 200, Appendix II (G)

### The Contractor agrees:

- 1. It will not use any violating facilities
- 2. It will report the use of facilities placed on or likely to be placed on the U.S. EPA "List of Violating Facilities;"
- 3. It will report violations of use of prohibited facilities to FTA; and
- 4. It will comply with the inspection and other requirements of the Clean Air Act, as amended, (42 U.S.C. §§7401-7671q); and the Federal Water Pollution Control Act as amended (33 U.S.C. §§1251-1387.)

## A.8 CIVIL RIGHTS LAWS AND REGULATIONS Civil Rights and Equal Opportunity

The Duluth Transit Authority is an Equal Opportunity Employer. As such, the Duluth Transit Authority agrees to comply with all applicable Federal civil rights laws and implementing regulations. Apart from inconsistent requirements imposed by Federal laws or regulations, the Duluth Transit Authority agrees to comply with the requirements of 49 U.S.C. §5323(h) (3) by not using any Federal assistance awarded by FTA to support procurements using exclusionary or discriminatory specifications.

Under this Agreement, the Contractor shall at all times comply with the following requirements and shall include these requirements in each subcontract entered into as part thereof.

- 1. **Nondiscrimination.** In accordance with Federal transit law at 49 U.S.C. §5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, national origin, sex, disability, or age. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
- 2. Race, Color, Religion, National Origin, Sex. In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. §5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. Chapter 60, and Executive Order No. 11246, "Equal Employment Opportunity in Federal Employment", September 24, 1965, 42 U.S.C. §2000e note, as amended by any later Ex Order that amends or supersedes it, referenced in 42 U.S.C. §2000e note. The Contractor agrees to take affirmative action to ensure that applicants re employed, and that employees are treated during employment, without regard to their race, color, religion, national origin, or sex (including sexual orientation and gender identity). Such action shall include, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- 3. **Age.** In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621-634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. Part 1625, the Age Discrimination Act if 1975, as amended, 42 U.S.C. §6101 *et. Seq.,* U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. Part 90, and Federal transit law at 49 U.S.C. §5332, the Contractor agrees to refrain from discrimination against present and prospective employees for the reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- 4. **Disabilities.** In accordance with Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. §794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. §12101 *et. Seq.,* the Architectural Barriers Act of 1968, as amended, 42 U.S.C. §4151 *et. Seq.,* and Federal transit law at 49 U.S.C. §4332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

### A.9 <u>DISADVANTAGED BUSINESS ENTERPRISE (DBE)</u> 49 CFR Part 26

It is the policy of the Duluth Transit Authority and the United States Department of Transportation ("DOT") that Disadvantaged Business Enterprises ("DBE's"), as defined herein and in the Federal regulations published at 49 C.F.R. Part 26, shall have an equal opportunity to participate in DOT-assisted contracts. It is also the policy of the Duluth Transit Authority to:

- 1. ensure nondiscrimination in the award and administration of DOT-assisted contracts;
- 2. Create a level playing field on which DBE's can compete fairly for DOT-assisted contracts;
- 3. Ensure that the DBE program is narrowly tailored in accordance with applicable law;
- 4. Ensure that only firms that fully meet 49 C.F.R. Part 26 eligibility standards are permitted to participate as DBEs;
- 5. Help remove barriers to the participation of DBEs in DOT assisted contracts;
- 6. To promote the use of DBEs in all types of federally assisted contracts and procurement activities; and
- 7. Assist in the development of firms that can compete successfully in the marketplace outside the DBE program.

This contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26. Therefore, the Contractor must satisfy the requirements for DBE participation as set forth herein. These requirements are in addition to all other equal opportunity employment requirements of this Contract. The Duluth Transit Authority

shall make all determinations with regard to whether or not a Bidder/Offeror is in compliance with the requirements stated herein. In assessing compliance, the Duluth Transit Authority may consider during its review of the Bidder/Offeror's submission package, the Bidder/Offeror/s documented history of non-compliance with DBE requirements on previous contracts with the Duluth Transit Authority.

#### **Contractor Assurance**

The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as Duluth Transit Authority deems appropriate, which may include, but is not limited to:

- 1. Withholding monthly progress payments
- 2. Assessing sanctions;
- 3. Liquidated damages; and/or
- 4. Disqualifying the contractor from future bidding as non-responsible. 49 C.F.R. §26.13(b).

### **DBE Participation**

For purposes of this Contract, the Duluth Transit Authority will only accept DBEs who are:

- 1. Certified at the time of the bid opening or proposal evaluation by the Unified Certification Program; or
- 2. An out of state firm who has been certified by either a local government, state government or Federal government entity authorized to certify DBE status or an agency whose DBE certification process has received FTA approval; or
- 3. Certified by another agency approved by the Duluth Transit Authority.

### **DBE Participation Goal**

There is no DBE participation goal for this Contract.

The contractor is required to pay its subcontractors performing work related to this contract for satisfactory performance of that work no later than 30 days after the contractor's receipt of payment for that work from the Duluth Transit Authority or in accordance with state statutes, whichever if more restrictive. In addition, the contractor is required to return any retainage payments to those subcontractors within 30 days (or in accordance with state law, whichever is more restrictive) after the subcontractor's work related to this contract is satisfactorily completed.

The contractor must promptly notify Duluth Transit Authority, whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of Duluth Transit Authority.

### **A.10 EMPLOYEE PROTECTIONS**

49 U.S.C. §5333(a), 40 U.S.C. §§3141-3148 29 C.F.R. Part 5, 18 U.S.C. §874 29 C.F.R. Part 3, 40 U.S.C. §§3701-3708, 29 C.F.R. Part 1926

### **Contract Work Hours and Safety Standards for Awards Not Involving Construction**

The Contractor shall comply with all federal laws, regulations, and requirements providing wage and hour protections for non-construction employees, in accordance with 40 U.S.C. § 3702, Contract Work Hours and Safety Standards Act, and other relevant parts of that Act, 40 U.S.C. § 3701 *et seq.*, and U.S. DOL regulations, "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Non-construction Contracts Subject to the Contract Work Hours and Safety Standards Act)," 29 C.F.R. part 5.

The Contractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three (3) years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.

Such records maintained under this paragraph shall be made available by the Contractor for inspection, copying, or transcription by authorized representatives of the FTA and the Department of Labor, and the Contractor will permit such representatives to interview employees during working hours on the job.

The contractor shall require the inclusion of the language of this clause within subcontracts of all tiers.

# A.11 ENERGY CONSERVATION REQUIREMENTS 42 U.S.C. 6321 et seq. 49 CFR Part 622, Subpart C

**Energy Conservation** – The contractor agrees to comply with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

A.12 <u>FLY AMERICA</u> 49 U.S.C. §40118, 41 C.F.R. Part 301-10 48 C.F.R. Part 47.4

Does not apply to this procurement

### A.13 GOVERNMENT-WIDE DEBARMENT AND SUSPENSION

2 C.F.R. Part 180, 2 C.F.R. Part 1200, 2 C.F.R. §200.213 2 C.F.R. Part 200, Appendix II (I) Executive Order 12549, Executive Order 12689

### Debarment, Suspension, Ineligibility and Voluntary Exclusion

The Contractor shall comply and facilitate compliance with U.S. DOT regulations, "Nonprocurement Suspension and Debarment," 2 C.F.R. part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- a) Debarred from participation in any federally assisted Award;
- b) Suspended from participation in any federally assisted Award;
- c) Proposed for debarment from participation in any federally assisted Award;
- d) Declared ineligible to participate in any federally assisted Award;
- e) Voluntarily excluded from participation in any federally assisted Award; or
- f) Disqualified from participation in any federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the Duluth Transit Authority. If it is later determined by the Duluth Transit Authority that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the Duluth Transit Authority, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

### A. 14 LOBBYING RESTRICTIONS 31 U.S.C. 1352, 2 CFR §200.450 2 C.F.R. Part 200 Appendix II (J), 49 C.F.R. Part 20

### **Lobbying Restrictions**

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### A.15 NO GOVERNMENT OBLIGATION TO THIRD PARTIES

The DTA and Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying Contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities to the DTA, Contractor or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying Contract. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by the FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

### A.16 PATENT RIGHTS AND RIGHTS IN DATA

2 C.F.R. part 200, Appendix II (F); 37 C.F.R. part 401 Does not apply to this procurement

### A.17 PRE-AWARD AND POST-DELIVERY AUDITS OF ROLLING STOCK PURCHASES

49 U.S.C. 5323 (m), 49 C.F.R. Part 663 Does not apply to this procurement

### A.18 PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS

49 U.S.C. § 5323(I) (1), 31 U.S.C. §§ 3801-3812 18 U.S.C. § 1001, 49 C.F.R. part 31

The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 *et seq.* and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.

The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that

is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. chapter 53, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5323(I) on the Contractor, to the extent the Federal Government deems appropriate.

The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

### A.19 PUBLIC TRANSPORTATION EMPLOYEE PROTECTIVE ARRANGEMENTS

49 U.S.C. § 5333(b) ("13(c)"), 29 C.F.R. part 215 Does not apply to this procurement

### A.20 RECYCLED PRODUCTS

42 U.S.C. § 6962 , 40 C.F.R. part 247 2 C.F.R. part § 200.322 Does not apply to this procurement

### A.21 SAFE OPERATION OF MOTOR VEHICLES

23 U.S.C. part 402, Executive Order No. 13043 Executive Order No. 13513, U.S. DOT Order No. 3902.10

### Seat Belt Use

The Contractor is encouraged to adopt and promote on-the-job seat belt use policies and programs for its employees and other personnel that operate company-owned vehicles, company-rented vehicles, or personally operated vehicles. The terms "company-owned" and "company-leased" refer to vehicles owned or leased either by the Contractor or the Duluth Transit Authority.

### **Distracted Driving**

The Contractor agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contactor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the work performed under this agreement.

### A.22 SCHOOL BUS OPERATIONS

49 U.S.C. 5323(f), 49 C.F.R. part 605 Does not apply to this procurement

### A.23 SEISMIC SAFETY

42 U.S.C. 7701 *et seq.*, 49 C.F.R. part 41 Executive Order (E.O.) 12699 Does not apply to this procurement

### A.24 SUBSTANCE ABUSE REQUIREMENTS

49 U.S.C. § 5331, 49 C.F.R. part 655 49 C.F.R. part 40 Does not apply to this procurement

### **A.25 TERMINATION**

2 C.F.R. § 200.339, 2 C.F.R. part 200, Appendix II (B)

### **Termination for Convenience (General Provision)**

The Duluth Transit Authority may terminate this contract, in whole or in part, at any time by written notice to the Contractor when it is in the Duluth Transit Authority's best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit its termination claim to the Duluth Transit Authority to be paid the Contractor. If the Contractor has any property in its possession belonging to the Duluth Transit Authority, the Contractor will account for the same, and dispose of it in the manner the Duluth Transit Authority directs.

### Termination for Default [Breach or Cause] (General Provision)

If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, the Duluth Transit Authority may terminate this contract for default. Termination shall be effected by serving a Notice of Termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will be paid only the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract.

If it is later determined by the Duluth Transit Authority that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the

Contractor, the Duluth Transit Authority, after setting up a new delivery of performance schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

### **Opportunity to Cure (General Provision)**

The Duluth Transit Authority, in its sole discretion may, in the case of a termination for breach or default, allow the Contractor ten (10) days in which to cure the defect. In such case, the Notice of Termination will state the time period in which cure is permitted and other appropriate conditions to cure the defect.

If Contractor fails to remedy to the Duluth Transit Authority's satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within ten (10) days after receipt by Contractor of written notice from the Duluth Transit Authority setting forth the nature of said breach or default, the Duluth Transit Authority shall have the right to terminate the contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude the Duluth Transit Authority from also pursuing all available remedies against Contractor and its sureties for said breach or default.

### Waiver of Remedies for any Breach

In the event that the Duluth Transit Authority elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this contract, such waiver by the Duluth Transit Authority shall not limit the Duluth Transit Authority's remedies for any succeeding breach of that or of any other covenant, term, or condition of this contract.

#### Termination for Default (Supplies and Service)

If the Contractor fails to deliver supplies or to perform the services within the time specified in this contract or any extension, or if the Contractor fails to comply with any other provisions of this contract, the Duluth Transit Authority may terminate this contract for default. The Duluth Transit Authority shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of the default. The Contractor will only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner or performance set forth in this contract.

If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Duluth Transit Authority.

### A.26 VIOLATION AND BREACH OF CONTRACT

2 C.F.R. § 200.326, 2 C.F.R. part 200, Appendix II (A)

### Rights and Remedies of the Duluth Transit Authority

The Duluth Transit Authority shall have the following rights in the event that the Duluth Transit Authority deems the Contractor guilty of a breach of any term under the Contract.

- 1. The right to take over and complete the work or any part thereof as agency for and at the expense of the Contractor, either directly or through other contractors:
- 2. The right to cancel this Contract as to any or all of the work yet to be performed;
- 3. The right to specific performance, an injunction or any other appropriate equitable remedy; and
- 4. The right to money damages.

### **Rights and Remedies of Contractor**

Inasmuch as the Contractor can be adequately compensated by money damages for any breach of this Contract, which may be committed by the Duluth Transit Authority, the Contractor expressly agrees that no default, act or omission of the Duluth Transit Authority shall constitute a material breach of this Contract, entitling Contractor to cancel or rescind the Contract (unless the Duluth Transit Authority directs Contractor to do so) or to suspend or abandon performance.

#### Remedies

Substantial failure of the Contractor to complete the Project in accordance with the terms of this Agreement will be a default of this Agreement. In the event of a default, the Duluth Transit Authority will have all remedies in law and equity, including the right to specific performance, without further assistance, and the rights to termination or suspension as provided herein. The Contractor recognizes that in the event of a breach of this Agreement by the Contractor before the Duluth Transit Authority takes action contemplated herein, the Duluth Transit Authority will provide the Contractor with sixty (60) days written notice that the Duluth Transit Authority considers that

such a breach has occurred and will provide the Contractor a reasonable period of time to respond and to take necessary corrective action.

### **Disputes**

- Example 1: Disputes arising in the performance of this Contract that are not resolved by agreement of the parties shall be decided in writing by the Duluth Transit Authority's Procurement Manager. This decision shall be final and conclusive unless within 10 days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to the Duluth Transit Authority General Manager. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the General Manager shall be binding upon the Contractor and the Contractor shall abide by the decision.
- Example 2: The Duluth Transit Authority and the Contractor intend to resolve all disputes under this Agreement to the best of their abilities in an informal manner. To accomplish this end, the parties will use an Alternative Dispute Resolution process to resolve disputes in a manner designed to avoid litigation. In general, the parties contemplate that the Alternative Dispute Resolution process will include, at a minimum, an attempt to resolve disputes through communications between their staffs, and, if resolution is not reached at that level, a procedure for review and action on such disputes by appropriate management level officials within the Duluth Transit Authority and the Contractor's organization.

In the event that a resolution of the dispute is not mutually agreed upon, the parties can agree to mediate the dispute or proceed with litigation. Notwithstanding any provision of this section, or any other provision of this Contract, it is expressly agreed and understood that any court proceeding arising out of a dispute under the Contract shall be heard by a Court de novo and the court shall not be limited in such proceeding to the issue of whether the Duluth Transit Authority acted in an arbitrary, capricious or grossly erroneous manner.

# A. 27 PROHIBITION ON CONTRACTING FOR CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

(a) Definitions. As used in this clause—

*Backhaul* means intermediate links between the core network, or backbone network, and the small subnetworks at the edge of the network (*e.g.*, connecting cell phones/towers to the core telephone network). Backhaul can be wireless (*e.g.*, microwave) or wired (*e.g.*, fiber optic, coaxial cable, Ethernet).

Covered foreign country means The People's Republic of China.

Covered telecommunications equipment or services means-

- (1) Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities);
- (2) For the purpose of public safety, security of Government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities);
- (3) Telecommunications or video surveillance services provided by such entities or using such equipment; or
- (4) Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

Critical technology means— (1) Defense articles or defense services included on the United States Munitions List set forth in the International Traffic in Arms Regulations under subchapter M of chapter I of title 22, Code of Federal Regulations;

- (2) Items included on the Commerce Control List set forth in Supplement No. 1 to part 774 of the Export Administration Regulations under subchapter C of chapter VII of title 15, Code of Federal Regulations, and controlled-
- (i) Pursuant to multilateral regimes, including for reasons relating to national security, chemical and biological weapons proliferation, nuclear nonproliferation, or missile technology; or
  - (ii) For reasons relating to regional stability or surreptitious listening;
- (3) Specially designed and prepared nuclear equipment, parts and components, materials, software, and technology covered by part 810 of title 10, Code of Federal Regulations (relating to assistance to foreign atomic energy activities);

- (4) Nuclear facilities, equipment, and material covered by part 110 of title 10, Code of Federal Regulations (relating to export and import of nuclear equipment and material);
- (5) Select agents and toxins covered by part 331 of title 7, Code of Federal Regulations, part 121 of title 9 of such Code, or part 73 of title 42 of such Code; or
- (6) Emerging and foundational technologies controlled pursuant to section 1758 of the Export Control Reform Act of 2018 (50 U.S.C. 4817).

Interconnection arrangements means arrangements governing the physical connection of two or more networks to allow the use of another's network to hand off traffic where it is ultimately delivered (e.g., connection of a customer of telephone provider A to a customer of telephone company B) or sharing data and other information resources.

Reasonable inquiry means an inquiry designed to uncover any information in the entity's possession about the identity of the producer or provider of covered telecommunications equipment or services used by the entity that excludes the need to include an internal or third-party audit.

Roaming means cellular communications services (e.g., voice, video, data) received from a visited network when unable to connect to the facilities of the home network either because signal coverage is too weak or because traffic is too high.

Substantial or essential component means any component necessary for the proper function or performance of a piece of equipment, system, or service.

- (b) Prohibition.
- (1) Section 889(a)(1)(A) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the DTA on or after August 13, 2019, from procuring or obtaining, or extending or renewing a contract to procure or obtain, any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. The Contractor is prohibited from providing to the Government any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system, unless an exception at paragraph (c) of this clause applies or the covered telecommunication equipment or services are covered by a waiver described in FAR 4.2104.
- (2) Section 889(a)(1)(B) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019 (Pub. L. 115-232) prohibits the head of an executive agency on or after August 13, 2020, from entering into a contract, or extending or renewing a contract, with an entity that uses any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system, unless an exception at paragraph (c) of this clause applies or the covered telecommunication equipment or services are covered by a waiver described in FAR 4.2104. This prohibition applies to the use of covered telecommunications equipment or services, regardless of whether that use is in performance of work under a Federal contract.
  - (c) Exceptions. This clause does not prohibit contractors from providing—
- (1) A service that connects to the facilities of a third-party, such as backhaul, roaming, or interconnection arrangements; or
- (2) Telecommunications equipment that cannot route or redirect user data traffic or permit visibility into any user data or packets that such equipment transmits or otherwise handles.
  - (d) Reporting requirement.
- (1) In the event the Contractor identifies covered telecommunications equipment or services used as a substantial or essential component of any system, or as critical technology as part of any system, during contract performance, or the Contractor is notified of such by a subcontractor at any tier or by any other source, the Contractor shall report the information in paragraph (d)(2) of this clause to the Contracting Officer, unless elsewhere in this contract are established procedures for reporting the information. For indefinite delivery contracts, the Contractor shall report to the Contracting Officer for the indefinite delivery contract and the Contracting Officer(s) for any affected order.
  - (2) The Contractor shall report the following information pursuant to paragraph (d)(1) of this clause:
- (i) Within one business day from the date of such identification or notification: the contract number; the order number(s), if applicable; supplier name; supplier unique entity identifier (if known); supplier Commercial and Government Entity (CAGE) code (if known); brand; model number (original equipment manufacturer number, manufacturer part number, or wholesaler number); item description; and any readily available information about mitigation actions undertaken or recommended.
- (ii) Within 10 business days of submitting the information in paragraph (d)(2)(i) of this clause: any further available information about mitigation actions undertaken or recommended. In addition, the Contractor shall describe the efforts it undertook to prevent use or submission of covered telecommunications equipment or

# 041-24-0030

services, and any additional efforts that will be incorporated to prevent future use or submission of covered telecommunications equipment or services.

(e) Subcontracts. The Contractor shall insert the substance of this clause, including this paragraph (e) and excluding paragraph (b)(2), in all subcontracts and other contractual instruments, including subcontracts for the acquisition of commercial items.

### A. 28) Repealed

### A. 29) Notice of Legal Agreement or Litigation

During the performance of this contract, the contractor agrees as follows:

Contractor shall promptly notify the Duluth Transit Authority of any current or prospective legal matter that may affect the Duluth Transit Authority or the Federal Government. Legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or naming the Duluth Transit Authority or the Federal Government as a party to litigation or any legal agreement in any forum for any reason.

This notification provision applies to instances of false claims under the False Claims Act, or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. This responsibility occurs whether the Contractor is subject to this Agreement between the Contractor and the Duluth Transit Authority or the Federal Transit Administration, or an agreement involving a principal, officer, employee, agent or subcontractor of the Contractor. Knowledge, as it is used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a Federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the Contractor. In this paragraph, "promptly" means to refer to information without delay and without change.

The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

#### A. 30) FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTION

FTA MA(29) February 7, 2022 Sec (G)

The undersigned certifies, to the best of his or her knowledge and belief, that it

- (A) Does not have any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
- (B) Was not convicted of the felony criminal violation under any federal law within the preceding 24 months.

The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

### A.31) TRAFFICING IN PERSONS

FTA MA(19) February 7, 2022, Sec (F)

Section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA) 22 U.S.C. §7104(g) and 2 C.F.R. Part 175

The Contractor will inform the Duluth Transit Authority immediately if any information it receives from any source alleging a violation of the prohibitions listed in this section.

Prohibition:

The Contractor agrees that it, its employees, its Subrecipients, and its Subrecipients' employees that participate in the Contractor's award may not:

- (A) Engage in severe forms of trafficking in persons during the period of time that the Contractor's underlying agreement is in effect;
- (B) Procure a commercial sex act during the period of time that the Contractor's Underlying Agreement is in effect: or
- (C) Use forced labor in the performance of the Contractor's Underlying Agreement or sub agreements.



### **DULUTH TRANSIT AUTHORITY**

**CONTRACT EXAMPLE FOR** 

# **Security Services**

January 29, 2024

### Duluth Transit Authority Security Services

# 041-24-0030

Section 3. Contract (example for Bidding purposes)

This Contract, made this \_\_\_\_ day of \_\_\_\_, 2024, by and between \_\_\_\_\_, a \_\_\_\_
(corporation) \_\_\_\_\_, hereafter referred to as "Contractor", and the Duluth Transit Authority, 2402 W. Michigan St., Duluth, MN, hereafter referred to as "DTA". The DTA and Contractor agree as set forth below.

### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents in priority order consist of Federal Transit Administration Contract Clauses, this Contract; Request for Bids (Procurement # 041-24-0030) dated January 29, 2024, including the General Conditions, Technical and Special Specifications; all addenda issued prior to and all modifications issued after execution of the Contract; and the executed Bid form all as fully a part of the Contract as if attached to this Contract or repeated herein.

### ARTICLE 2 THE WORK

The Contractor shall perform the work required by the Contract Documents for this Request for Bids in accordance with the generally accepted standards of the profession for services of this type.

### ARTICLE 3 TERM

The Work to be performed under this Contract shall begin no later than thirty (30) days after "notice to proceed" from the DTA and completed no later than December 31, 2026, unless terminated earlier as set forth herein.

The DTA, may, at its sole discretion, extend the term of this Contract for a period of one (1) year from January 1, 2027, through December 31, 2027, upon written notice from the DTA Procurement Manager no later than December 1, 2026. If the DTA extends the term of this Contract in accordance with the foregoing, all the terms and conditions shall continue, unmodified, in full force and effect, except that payment to the Contract shall be increased as set forth in the Contractor's Bid.

The DTA, may, at its sole discretion, extend the term of this Contract for a period of one (1) year from January 1, 2028, through December 31, 2028, upon written notice from the DTA Procurement Manager no later than December 1, 2027. If the DTA extends the term of this Contract in accordance with the foregoing, all the terms and conditions shall continue, unmodified, in full force and effect, except that payment to the Contract shall be increased as set forth in the Contractor's Bid.

### ARTICLE 4 CONTRACT SUM

The DTA shall pay the Contractor in current funds for the performance of the work as set forth in Contractor's bid dated \_\_\_\_\_, subject to additions and deductions by Change Orders as provided in the Contract Documents in the amount accepted.

### ARTICLE 5 PAYMENTS TO CONTRACTOR

Terms of payment shall be thirty (30) days net from the conclusion of the month for which payment is due, unless a discount for earlier payment is solicited by the DTA and offered by the Contractor. The DTA may withhold payment for Contractor's services where the services are in dispute, where the services or any claimed reimbursable expenses are not documented or warranted, or when the service was not performed in accordance with the terms of the Contract Documents.

### ARTICLE 6 CONTRACTOR CHANGES

The DTA or the Contractor may, from time to time, request changes in the scope of the services to be performed hereunder. Such changes, including the increase or decrease in the amount of the Contractor's compensation, which are mutually agreed upon by and between the DTA and the Contractor, shall be incorporated in written amendments to the Contract.

### ARTICLE 7 DELAYS

Contractor shall notify DTA in writing of any delays, including all relevant details, immediately upon encountering any difficulties which threaten to delay the timely performance of this Contract. However, such notification or request or acceptance of belated services shall not constitute acceptance of the delay, or request for extension, without written acceptance by DTA as a change in the Contract.

### ARTICLE 8 ASSIGNABILITY

Contractor shall not in any way assign or transfer any of its rights or interests under this Contract in any way whatsoever without the prior written consent of the DTA General Manager.

### ARTICLE 9 INDEMNIFICATION

To the fullest extent permitted by law, the Contractor shall defend, indemnify and save the DTA and ATE Management of Duluth SBC, and their employees, officers and agents harmless from all costs or expenses, claims and liabilities charges, damages, and loss of any kind, including, but not limited to, reasonable attorney's fees and expenses, whether asserted by Contractor or any third party, that may grow out of the matter covered by this Contract. Said obligation shall include, but not be limited to, the obligation to defend, indemnify and save harmless the DTA and ATE Management of Duluth SBC in all cases where claims of liability against the DTA and ATE Management of Duluth SBC are alleged to be or could be found to arise out of the negligent or otherwise wrongful act or omission of Contractor or are passive, derivative, or vicarious of the negligent or intentional acts or omissions of Contractor, including, but not limited to, the failure to supervise, the failure to warn, the failure to prevent such act or omission by Contractor and other of such source of liability. The obligations to defend, indemnify and hold harmless shall be triggered upon the assertion of a claim for damages against the DTA and ATE Management of Duluth SBC. This Section shall survive the termination of this Contract for any reason. Contractor shall not have the obligation to indemnify the DTA or ATE Management of Duluth SBC for its intentional, willful, or wanton acts,

#### ARTICLE 10 INSURANCE

- a. Contractor shall provide the following minimum amounts of insurance from insurance companies authorized to do business in the state of Minnesota, which insurance shall indemnify Contractor and the DTA and ATE Management of Duluth SBC, from all liability described in the paragraph above.
  - (1) Workers' compensation in accordance with the laws of the state of Minnesota.
  - (2) Commercial General Liability and Automobile Liability Insurance with limits not less than \$2,000,000 Single Limit or \$1,000,000 Single Limit and \$1,000,000 umbrella with a following form provision insuring Contractor with DTA and ATE Management of Duluth SBC as an additional insured.

\*An umbrella policy with a "following form" provision is acceptable if written verification is provided that the underlying policy names the DTA and ATE Management of Duluth SBC as an additional insured.

- (3) Each Policy shall be:
  - written by the insurer reasonably acceptable to the DTA;
  - ii. endorsed to name the Contractor, DTA and ATE Management of Duluth SBC as additional insured.

- iii. providing that the coverage afforded thereby is primary to the Contractor and DTA and ATE Management of Duluth, SBC. is not excess; and
- iv. such that it cannot be cancelled or materially altered within thirty days without prior written notice to the DTA.
- v. Certificates showing that Contractor is carrying the above-described insurance in the specified amounts shall be furnished to the DTA prior to the execution of this Contract, and a certificate showing continued maintenance of such insurance shall be on file with DTA during the term of this Contract and any extensions thereto.

### ARTICLE 11 RECORDS AND INSPECTIONS

### a. Establishment and Maintenance of Records

Records shall be maintained by Contractor in accordance with requirements prescribed by DTA and with respect to all matters covered by this Contract. Such records shall be maintained for a period of six (6) years after receipt of final payment under this Project.

### b. Documentation of Costs

Contractor will ensure that all costs shall be supported by properly executed payrolls, time records, invoices, contracts, vouchers or other official documentation evidencing in proper detail the nature and propriety of the charges. All checks, payrolls, invoices, contracts, vouchers, orders or other accounting documents pertaining in whole or in part to this Contract shall be clearly identified and readily accessible.

### c. Reports and Information

Contractor shall be responsible for furnishing to DTA records, data and information as DTA may require pertaining to matters covered by this Contract.

### d. Audits and Inspections

Contractor shall ensure that at any time during normal business hours and as often as DTA may deem necessary, there shall be made available to DTA for examination, all of its records with respect to all matters covered by this Contract. Contractor will also permit DTA to audit, examine and make excerpts or transcripts from such records, and to make audits of all contracts, invoices, materials, payrolls, records of personnel, conditions of employment, and other data relating to all matters covered by this Contract.

### e. Confidentiality of Information

Contractor must comply with the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13, as it applies to all data provided by the DTA under this Contract, and as it applies to all data created, collected, received, stored, used, maintained or disseminated by Contractor under this contract. The civil remedies of Minnesota Statutes Section 13.08 apply to the release of the data referred to in this clause by the Contractor. If Contractor receives a request to release data referred to in this clause, Contractor must immediately notify the DTA and consult with the DTA as to how Contractor should respond to the request. Contractor's response to the request must comply with applicable law.

### f. Ownership of Data

All survey notes, reports, design plans, specifications, special studies, records and other data prepared under this Contract shall become the property of DTA when prepared and shall be delivered to the DTA upon completion or termination of the services of Contractor or at such earlier time as requested by the DTA.

### ARTICLE 12 INDEPENDENT CONTRACTOR

That at all times and for all purposes hereunder, Contractor shall be an independent contractor and is not an employee of the DTA for any purpose. No statement contained in this Contract shall be construed so as to find Contractor to be an employee of the DTA, and Contractor shall not be entitled to any of the rights, privileges, or benefits of employees of the DTA, including, but not limited to, workers' compensation, health/death benefits, and indemnification for third-party personal injury/property damage claims.

#### ARTICLE 13 COMMUNICATIONS

Communications in connection with this Contract shall be in writing and shall be delivered personally; or by e-mail; or by regular, registered, or certified mail addressed to the officer(s) or employee(s) of the DTA and of the Contractor designated to receive such communications. Telephone calls may be used to expedite communications but shall not be official communication unless confirmed in writing.

Designation for DTA	Designation for Contractor	
Mike Ahlin, DTA Director of Safety and Security		

### ARTICLE 14 EXTENT OF CONTRACT

This Contract represents the entire and integrated agreement between the DTA and the Contractor and supersedes all prior negotiations, representations or agreements, either written or oral. This Contract may be amended only by written instrument signed by both DTA and Contractor.

### ARTICLE 15 GOVERNING LAW

This Contract shall be governed by the applicable laws of the City of Duluth and State of Minnesota. The appropriate venue and jurisdiction for any litigation hereunder shall be in the court located is St. Louis County, Minnesota. However, litigation in Federal Courts involving the parties shall be in the appropriate federal court in the State of Minnesota.

#### ARTICLE 16 RIGHTS AND REMEDIES

The duties and obligations imposed by the Contract and the rights and remedies hereunder shall be in addition to and not in limitation of any duties, obligations, rights and remedies otherwise imposed or available by law.

Failure of the DTA to act shall in no way constitute a waiver of any right or duty afforded to it under the Contract, nor shall any such action or failure to act constitute an approval of or an acquiescence in any breach of this Contract, except as may be specifically agreed to in writing by the DTA.

#### ARTICLE 17 NO THIRD PARTY RIGHTS

This Contract is to be construed and understood solely as a Contract between the DTA and Contractor and shall not be deemed to create any rights in any other person. No person shall have the right to make claim that she or he is a third-party beneficiary of this Contract or of any of the terms and conditions hereof, which, as between the DTA, may be waived at any time by mutual agreement.

### ARTICLE 18 CANCELLATION

The DTA shall have the right to cancel this Contract if monies are not appropriated by the funding department or agency for purposes of this Agreement.

### ARTICLE 19 PROVISIONS HELD INVALID

If any provision of this Contract is held invalid, such holding shall not affect the validity of the reminder of the Contract.

### ARTICLE 20 SEVERABILITY

In the event any provision herein shall be deemed invalid or unenforceable, the remaining provisions shall continue in full force and effect and shall be binding upon the parties to this Contract.

### ARTICLE 21 COUNTERPARTS

This Contract may be executed in two or more counterparts, each of which shall be deemed an original as against any party whose signature appears thereon, but all of which together shall constitute but one and the same instrument. Signatures to this Contract transmitted by facsimile, by electronic mail in "portable document format" ("pdf") or by any other electronic means which preserves the original graphic and pictorial appearance of the Contract shall have the same effect as physical delivery of the paper document bearing an original signature.

This Contract entered into as of the day and year first written above.				
By: Duluth Transit Authority	Ву:			
General Manager	Title:			



### **DULUTH TRANSIT AUTHORITY**

**BID FORMS AND CERTIFICATIONS** 

# **Security Services**

January 29, 2024

### Duluth Transit Authority Security Services

Section 4 Bid Form

"Security Services"

TAX: Federal Excise Tax Exemption Account No. 41740056K; The DTA is State and City Tax Exempted.

	Price Per Hour	March 1, 20	24 – December 31, 2026
Weekday Service, 13 ho	ours per day, 5 days	per week:	\$
Weekday Service, each	additional hour ove	er 13 hours:	\$
Saturday Service, 18 ho	ours per day:		\$
Saturday Service, each	additional hour ove	r 18 hours:	\$
Sunday Service, 15.5 ho	ours per day:		\$
Holiday Service 15.5 ho	ours per day:		\$
OPTION 1	Price Per Hour	January 1,	2027 – December 1, 2027
Weekday Service, 13 ho	ours per day, 5 days	per week:	\$
Weekday Service, each	additional hour ove	er 13 hours:	\$
Saturday Service, 18 ho	ours per day:		\$
Saturday Service, each	additional hour ove	r 18 hours:	\$
Sunday Service, 15.5 ho	ours per day:		\$
Holiday Service 15.5 ho	ours per day:		\$
Option 2	Price Per Hour	January 1,	2028 – December 1, 2028
Weekday Service, 13 ho	ours per day, 5 days	per week:	\$
Weekday Service, each	additional hour ove	er 13 hours:	\$
Saturday Service, 18 ho	ours per day:		\$
Saturday Service, each	additional hour ove	r 18 hours:	\$
Sunday Service, 15.5 ho	ours per day:		\$
Holiday Service 15.5 ho	ours per day:		\$

Duluth Transit Authority Security Services

# 041-24-0030

Firm Name:			
Mailing Add	ress:		Addendum Acknowledgme Number Date Rec'd
			<u> </u>
CITY	STATE	ZIP CODE	
Ву:			
(PRINT NAM		TITLE	PHONE NO.
Signature		 em	mail
S			

### Proof of Responsibility Statement

4.1

The Duluth Transit Authority requires anyone submitting a bid or proposal to complete a sworn statement consisting of information relating to their capacity to complete the work requested, including financial stability, equipment, experience in the work prescribed, etc. If the Duluth Transit Authority Board is not satisfied with the sufficiency of the answers to the questionnaire and financial statement, it may reject the bid or disregard the same or require additional information. Attach separate sheets as necessary.

Statement of Bidder Qualifications and Responsibility

1.	Name of Bidder or Proposer:
2.	Address:
3.	Legal form of company (partnership, corporation, joint venture, etc.) (If a joint venture, identify the members of the joint venture and provide all information required in this section for each member.)
4.	When Organized:
5.	Where Incorporated (as applicable):
6.	How many years has the firm or organization been engaged in the contracting business under the present firm name?
7.	System for Award Management Unique ID (if applicable):
7. 8. 9. 10.	estions 7-13: If the answer is 'Yes', please provide details in a separate attachment.  Have you ever failed to complete any work awarded to you? No Yes  Have you ever defaulted on a contract? No Yes  Have you ever been sued for services you provided? No Yes  Has your firm been charged with or convicted of, a violation of a wage schedule?  No Yes
12.	Does your organization possess all valid licenses, registrations and certifications required by federal, state, county or city law necessary for the work it seeks to perform? No Yes Has your organization had any type of business, contracting or trade license, certification or registration revoked or suspended in the last three years? No Yes Is your firm or organization a part of a multi-entity corporation, a wholly-owned subsidiary, or more than 51% owned by another firm or organization? No Yes If yes, provide documentation on the parent organization, audited statements of financial standing, working capital financing, authorization to enter into contracts, and other proof of responsibility.
14.	Does your firm have experience in similar type of projects or work, and have sufficient equipment, personnel, expertise, and financial reserves to perform the work successfully? No Yes (If no, please explain on a separate sheet. If yes, please provide the names and contact information of three (3) references.)
15.	Has your firm or organization been a debtor in a bankruptcy proceeding in the last ten years?  No Yes If yes, on a separate sheet of paper titled "Bankruptcy Information", state date, court of jurisdiction, amount of liabilities and amount of assets.
	List the average range of annual gross receipts of the firm or organization for the past three ars:
	Less than \$500,000 \$500,000 to \$1 million between \$1 million and \$5 million between \$5 million and \$10 million between \$10 million and \$15 million above \$15 million

- 17. Identify any conditions (e.g., pending litigation, planned office closures, impending merger, etc.) that may impede the proposed firm or organization's ability to complete the work.
- 18. Please provide a Proof of Responsibility Statement for each subcontractor or supplier providing goods or services in excess of fifty thousand dollars (\$50,000.00) listed in the bid or proposal.

### Warranty:

The Contractor,

- (i) if it is a corporation, is duly incorporated, organized, validly existing and in good standing as a corporation under of the laws of the jurisdiction of its incorporation;
- (ii) if it is a partnership, non-profit organization, individual or sole proprietorship, is duly organized and validly existing under the laws of the jurisdiction in which it was organized;
- (iii) is duly qualified and in good standing under the laws of each jurisdiction where its existing ownership, lease, or operation of property in the conduct of its business requires, and
- (iv) has the power and legal right to conduct the business in which it is currently engaged and
- (v) attests that the execution, delivery and performance of the Contract does not and will not violate any provision of any applicable existing law, regulation or of any order, judgment, award or decree of any court or government applicable to the Contractor or the charter or by-laws of the Contractor or any mortgage, indenture, or other obligation.

Signed:			
Title			

# 4.2 Small or Disadvantaged Business Enterprise, Veteran-owned Business (including Service-Disabled Veteran Business Enterprises)

	Is the Contractor's firm or organization registered as a Small Business under the Small Business Administration's 8(a) Business Development Program, HUBZone business, or other development program through the SBA?
	No Yes (If yes, please provide a copy of the registration.)
	Is the Contractor's firm or organization certified or registered as a Small Business, a Disadvantaged Business Enterprise, or a Veteran-owned business (including Service-Disabled Veteran-owned business) by a government agency authorized to certify or register the above noted entities?
	No Yes (If yes, please provide details and copies of the applicable registration or certification.)
	e Contractor agrees to take all necessary steps to ensure that DBEs have the opportunity to mpete for and perform work under this Contract.
ge C.I Co wh	e Contractor or Subcontractor shall not discriminate on basis of race, color, national origin or nder in the performance of this contract. Contractor shall carry out applicable requirements of 49 F.R. Part 26 in the award and administration of U.S. DOT assisted contracts. Failure by the intractor or Subcontractor to carry out these requirements is a material breach of the contract, ich may result in the termination of this contract or such other remedy as the DTA deems propriate.
Sig	gned this day of, 20:
<u> </u>	

### 4.3 Subcontractors and Suppliers Listing

List each subcontractor and/or supplier included in the bid. Subcontractors or Suppliers that are registered or certified S/DBEs must provide proof and the name of the certifying agency **prior to commencing work**.

Subcontractor:	Type of work:
S/DBE or Veteran owned?	<u> </u>
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	<del></del>
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
commencement of subcontractor or s	id approved by the Duluth Transit Authority <b>prior to the</b> supplier's work.
	••
Signed:	
Firm Name:	

# Section 5 FEDERAL TRANSIT ADMINISTATIONS REQUIRED CERTIFICATES

Certificate A GOVERNMENT-WIDE DEBARMENT AND SUSPENSION
2 C.F.R. part 180; 2 C.F.R part 1200; 2 C.F.R. § 200.213
2 C.F.R. part 200 Appendix II (I); Executive Order 12549; Executive Order 12689

### Debarment, Suspension, Ineligibility and Voluntary Exclusion

The Contractor shall comply and facilitate compliance with U.S. DOT regulations, "Nonprocurement Suspension and Debarment," 2 C.F.R. part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit(irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- a) Debarred from participation in any federally assisted Award;
- b) Suspended from participation in any federally assisted Award;
- c) Proposed for debarment from participation in any federally assisted Award;
- d) Declared ineligible to participate in any federally assisted Award;
- e) Voluntarily excluded from participation in any federally assisted Award; or
- f) Disqualified from participation in any federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows: The certification in this clause is a material representation of fact relied upon by the Duluth Transit Authority. If it is later determined by the Duluth Transit Authority that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the Duluth Transit Authority, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer.

The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

SIGNED		
FIRM NAME		

# Certificate B LOBBYING RESTRICTIONS 31 U.S.C. § 1352 2 C.F.R. § 200.450 2 C.F.R. part 200 appendix II (J) 49 C.F.R. part 20

The undersigned certifies, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

SIGNED		
FIRM NAME		

### Certificate C. Notice of Legal Agreement or Litigation

During the performance of this contract, the contractor agrees as follows:

Contractor shall promptly notify the Duluth Transit Authority of any current or prospective legal matter that may affect the Duluth Transit Authority or the Federal Government. Legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or naming the Duluth Transit Authority or the Federal Government as a party to litigation or any legal agreement in any forum for any reason. This notification provision applies to instances of false claims under the False Claims Act, or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bid rigging, misappropriation or embezzlement, bribery, gratuity, or similar misconduct involving federal assistance. This responsibility occurs whether the Contractor is subject to this Agreement between the Contractor and the Duluth Transit Authority or the Federal Transit Administration, or an agreement involving a principal, officer, employee, agent or subcontractor of the Contractor. Knowledge, as it is used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a Federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the Contractor. In this paragraph, "promptly" means to refer to information without delay and without change.

The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

SIGNED	 	 
FIRM NAME .	 	 

### Certificate D. FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTION

FTA MA(29) February 7, 2022 Sec (G)

The undersigned certifies, to the best of his or her knowledge and belief, that it

- (A) Does not have any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
- (B) Was not convicted of the felony criminal violation under any federal law within the preceding 24 months.

The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

SIGNED	 	 
FIRM NAME		

Duluth Transit Authority Security Services

# 041-24-0030	
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The respondent hereby states that it has read and will comply with the DTA's Vendor Code of Ethics
and Organizational Conflict of Interest (both on the DTA website) as well as the applicable Federal
Clauses and Requirements contained herein.

SIGNED		 	
FIRM NA	ME		

### SECTION 6 SPECIAL SPECIFICATIONS FOR SECURITY SERVICES

### A. Background and Details

- 1. The Duluth Transit Authority (DTA) is seeking Bids from qualified vendors to provide security services at two DTA properties, the Duluth Transportation Center, 228 West Michigan Street, Duluth, MN 55806, and Transit Center East, 214 West Superior Street, Duluth, MN, 55806.
- 2. The Duluth Transportation Center (DTC) serves as the DTA's main transit hub and consists of a three-level parking ramp and an approximately 5,000 square foot depot that houses the DTA transit operations, a Duluth Police Department substation, and a Jefferson Lines booth for intercity bus service.
- 3. The DTC is connected to the Duluth Skywalk System and to Transit Center East (TCE) via a DTA-owned skywalk. TCE serves as an alternate operations center if DTC is unavailable (such as during construction) and as a connector to Superior Street for customers of the DTC. There are also two commercial tenants at TCE under long-term leases with the DTA.
- 4. Both buildings are open 365 days a year from 5:00 a.m. to 12:00 a.m. Monday through Friday, 6:00 a.m. to 12:00 p.m. on Saturdays, and 6:30 a.m. to 10:00 p.m. on Sundays and Holidays.
- 5. The DTA has experienced few security incidents, minor vandalism, personal confrontations, loitering, littering, smoking in prohibited areas, etc. Passengers and customers who violate DTA standards of conduct are issued trespass tickets; repeat offenders can be banned from DTA properties. The DTA has contracted with a uniformed security guard, General Security Services Company, at the DTC and TCE to ensure the safety of passengers, customers, employees and the public. There is no issue with the current provider, however the contract is expiring and DTA is seeking a new contract and is open to all providers.
- 6. The selected firm shall be licensed, bonded and insured for these types of services, and shall present proof upon request from the DTA Procurement Manager.
- 7. Security officers performing services on DTA property shall be trained in security enforcement either through an accredited training program or military or government training program. Officers must have at least one-year experience in similar duties. Contractor shall also offer ongoing training to security officers as may be required for continued service.
- 8. The DTA also has a contract with a third party to perform Transit Ambassador services. The Ambassadors are primarily customer service focused, making themselves available for questions, helping customers locate their bus, trip planning, etc. The Transit Ambassadors have the authority to enforce the DTA Code of Conduct, and will contact authorities when conditions warrant. The selected vendor providing security officers for this Contract must ensure that the security officers work with the Transit Ambassadors to enforce rules and regulations, and to support each other during security incidents when necessary.
- 9. The Project Manager for this project is Mr. Mike Ahlin, Director of Safety and Security.
- 10. A pre-submittal meeting will be held at the <u>Duluth Transportation Center</u>, 228 West Michigan Street, Duluth, MN 55806 at **11:00 a.m. on Tuesday, February 6, 2024** Attendance is not mandatory, but strongly encouraged. A tour of the project site will immediately follow. For those attendees who are not able to attend the meeting, contact 218-623-4329 for an alternate date for a tour.

### **B.** Description Of Security Services

- a. Perform resident security services, such as observe operations areas, including stairwells and waiting areas, parking areas, loading zones, other areas of the property not open to the public to ensure safe and secure operations at the Duluth Transportation Center and Transit Center East.
- b. Intervene in disagreements or behavior that is not consistent with DTA standards and code of conduct.
- c. Report any criminal activity to local authorities, stay on the scene (to the extent it is safe to do so) until authorities arrive.
- d. Employ dispute reduction techniques to diffuse angry persons.
- e. Patrol throughout both buildings and parking ramp on a regular basis to ensure there are no persons loitering, conducting illegal activity, harassing customers, etc.
- f. Where feasible, escort patrons to their car upon request.
- g. Enforce no smoking rules on DTA property.
- h. Enforce DTA rules, such as no sitting on stairways or the floor, littering, etc.
- i. Prepare and email a daily written report to the DTA Director of Safety and Security for all incidents.
- j. Work with DTA staff and local authorities to document persons trespassing or conducting illegal activity.
- k. Report any emergency situations, fire, EMS calls, etc. promptly and stay in contact with DTA personnel as requested.
- I. Report any unsafe driving in the Center, including buses speeding or causing property damage (by DTA and 3<sup>rd</sup> party drivers.)
- m. Other actions as may be necessary to maintain a safe and secure operation that are consistent with security services.

### C. Hours Of Service

1. The DTA is seeking resident security services at DTC and TCE for the following hours:

Monday through Friday: 5:00 a.m. until 10:00 a.m.

4:00 p.m. until 12:00 midnight

Saturday: 6:00 a.m. until 12:00 midnight Sundays and Holidays: 6:30 a.m. until 10:00 p.m.

The DTA may choose to change the times, the number of hours, or the number of days, at its sole discretion, upon ten day advance notice to the Contractor.

### SECTION 7 PERSONNEL REQUIREMENTS

- 1. Respondents shall provide the DTA Project manager with a copy of Contractor's employee policies and procedures prior to commencing work on the Contract, including emergency response procedures. All security personnel performing services on DTA property must have a background check that includes criminal history at local, state and national levels. Contractor shall have a drug testing program in place for random testing and For-cause, and shall provide the DTA Project Manager with a copy of the program upon request.
- 2. It is the responsibility of the Contractor to train and ensure that all staff performing services on DTA property has a thorough working knowledge of the services to be performed and ancillary requirements under this Contract.
- 3. Security personnel must carry a flashlight and a cell phone at all times and are permitted to carry other communication equipment in accordance with Contractor's company policy.
- 4. DTA security information, including, but not limited to, the layout of the site, methods of security, keys, cards and badges, are NOT TO BE SHARED WITH ANY PERSONS OTHER THAN THOSE WHO PERFORM SERVICES ON DTA PROPERTY. Breach of this requirement may be grounds for immediate termination of this Contract.
- 5. The only Contractor employees that are to be allowed in a secure area of the DTA property are those that have been authorized under the terms of this Contract and only while they are DIRECTLY INVOLVED in providing services or supervising staff. Unauthorized personnel in a secure area of the DTA property when not providing Contract work may be grounds for immediate termination of this Contract.
- 6. All Contractor staff providing services under this Contract will be required to sign for each key or FOB issued to the Contractor by the DTA. If a Contractor's employee or staff loses a key or FOB, the replacement cost will be paid to the DTA by the Contractor. If a breach of security results from such a loss and locks must be changed or other changes must be made as a result, the Contractor will be responsible for all associated costs and the charges will be deducted from the amount due to the Contractor.
- 7. Contractor shall ensure that all staff will keep private any proprietary information that may discovered while performing services and not disclose such information to any third party without the prior written consent of the DTA General Manager.
- 8. The Contractor's staff shall practice good personal hygiene and be well groomed while on duty.
- 9. Interaction with DTA employees and customers is to be kept on a professional level at all times. Personal business, including cell phone use unless it is for a business purpose, is not to be conducted during Contractor working hours on DTA property.
- 10. The Contractor shall comply with all present and future DTA policies for engaging customers and the public, including limiting the use of force unless it is in self-defense or assisting a customer, and shall make all reasonable efforts to ensure that the Contractor's employees, officials and subcontractors do not engage in violence while performing under this Contract. Violence means works and actions that hurt or attempt to threaten or hurt people, any action involving the use of physical force, harassment, intimidation, disrespect or misuse of power and authority where the impact is to cause pain, fear, or injury. DTA will provide a copy of applicable DTA policies as they are enacted or revised.
- 11. Contractor shall ensure that its employees, representatives, subcontractors and others providing services under this Contract will act in a courteous manner, not use profanity or lewd gestures, nor post inappropriate materials or comments on DTA property, including DTA websites or social media, and not smoke or consume alcohol or illegal drugs while on DTA property at all times. In the event that the DTA reasonably objects to any employee(s), representative(s), subcontractor(s) or other persons providing services under this Contract, they shall be removed from the assignment by the Contractor and not permitted to return to provide services under this Contract without the written consent of the DTA General Manager.

### SECTION 9 RFB SUBMITTAL REQUIREMENTS

- 1. Respondent shall provide a summary of the firm, number of years in business, number of accounts and qualifications of key personnel overseeing the work.
- 2. Provide a list of qualification requirements for security personnel at the firm, prior experience, background checks, periodic training, drug testing, etc.
- 3. Describe what equipment officers use, phones, iPad, radio, etc., and any additional charges that may be associated with the equipment.
- 4. Provide an example of an incident report for DTA review.
- 5. Provide any other information that will assist the DTA in determining the qualifications and abilities of the Respondent.
- 6. Include a signed Bid form and all Required Certificates contained herein.