Duluth Transit Authority REQUEST for BIDS

FOR
On Call Door Repair Services

May 26, 2020

Duluth Transit Authority

2402 W. Michigan St · Duluth, MN 55806 (218) 623-4329 fax: (218) 722-4428

email: nbrown@duluthtransit.com

Duluth Transit Authority Request for Bids On Call Door Repair Services

The Duluth Transit Authority (% TA+) hereby requests written bids for the provision of On Call Door Repair Services at DTA buildings.

The DTA affirmatively assures that equal opportunity will be offered to all persons without regard to race, creed, color, religion, national origin, gender, marital status, age, disability, or veterance status, and encourages the participation of small and disadvantaged business enterprises in the performance of this contract. The DTA reserves the right to accept or reject any and/or all bids in the best interest of the DTA.

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General Conditions & Mandatory Clauses:

G-1 **REQUEST FOR BIDS**

- a) Sealed bids are requested from qualified firms to provide On Call Door Repair Services relating to the Duluth Transit Authority (DTA) facilities located at 2402 West Michigan Street, 214 West Superior Street, 228 West Michigan Street, and the Transit Stop located at 3rd Avenue East between Superior Street and First Street, in Duluth, MN.
- b) Bids shall be on the proposed hourly rates and costs for the project under the requirements and conditions set forth herein, which shall be considered an essential part of the Contract Documents.
- c) The DTA reserves the right to accept or refuse any or all Bids in the best interest of the DTA. The DTA shall not be under any obligation for payment of precontractual expenses, including expenses for preparing or submitting a Bid in response to this request.
- d) Bid prices shall be good for ninety (90) days after the bid opening.
- e) Throughout these specifications the words equipment, materials, and work can be interpreted as interchangeable.
- f) A prebid meeting will be held at the DTA Operations Center, 2402 West Michigan Street, Duluth, MN 55806 at 1:00 p.m. on Tuesday, June 2, 2020. Attendance is not mandatory, but strongly encouraged. Due to the COVID-19 emergency, attendees will be required to wear face masks while on DTA property, as well as high visibility vests. Persons wishing to participate but not able to attend in person may contact the Procurement Manager at nbrown@duluthtransit.com or call 218-623-4329 for call-in instructions.
- g) This contract is funded in part by a grant from the Federal Transit Administration (%TA+) CFDA #20.507.
- h) Throughout these specifications the words equipment, materials and work can be interpreted as interchangeable.

G-2 **INQUIRIES**

All inquiries and other correspondence relating to this Request for Bids shall be with the Procurement Manager and addressed to DTA Procurement Manager via email, nbrouwn@duluthtransit.com, or regular main, Duluth Transit Authority, attention Procurement Manager, 2402 West Michigan Street, Duluth, MN 55806.

G-3 **DEFINITION OF TERMS**

Whenever the following terms are used in these bid specifications, the intent and meaning of them shall be interpreted as follows:

- 1. DTA, customer, buyer, or Operator shall mean the DTA.
- 2. Project Manager shall mean the Director of Maintenance for all work performed.
- 3. Manufacturer, Vendor, Bidder, or Contractor shall mean that firm submitting a responsive Bid and subsequently receiving the Contract award from the DTA as the Contractor detailed in these specifications.

G-4 SUBSTITUTIONS AND OR EQUAL

- a) Where proprietary names are used in these specifications, it is understood that they are followed by the words "or equal". Brand, manufacturer or product names are indicated in the specifications only for the purpose of establishing identification and a general description of the item(s) sought. Items of equal quality, not bearing such name may be substituted with the approval of the DTA Procurement Manager.
- b) DTA officials are NOT authorized to discuss this RFB with anyone, including Bidders, before the Bid submission deadline without permission, except that prime contractors

- and/or subcontractors may make appointments to discuss these specifications with the Procurement Manager. This, however, does not relieve them from the written documented request required by paragraph c) below. Where prior approval is called for in the specifications, it means prior to the Bid opening.
- c) Requests for approved equals, clarifications of specifications, and protest of specifications must be received by the Procurement Manager in writing via email or regular mail no later than 2 p.m. on Friday, June 5, 2020. Any request for an approved equal must be fully supported with technical data, test results, or other pertinent information as evidence that the substitute offered is equal to or better than the specifications that pertain to an item under consideration. The supporting evidence for the approved equal must be submitted with the request for approval. All requests must be submitted via email or US Mail unless otherwise approved by the DTA Procurement Manager in writing.
- d) The replies to request under paragraph c) above will be emailed, mailed or faxed out on Monday, June 8, 2020 to all prospective Bidders.
- e) Changes to the specifications will be made **only** by **written** addendum. Addendum will be posted on the DTA website at <u>www.duluthtransit.com</u>. It is the Biddercs responsibility to obtain all documents available for this RFB.

G-6 PREPARATION OF BID

Bids must be submitted on the forms attached. All blanks in the Bid form must be completed with ink or typewriter. Bids containing alterations or erasures may be rejected unless the alteration or erasure is corrected by crossing out the error, inserting the correction adjacent thereto with ink or typewriter and initialing the correction in ink by the person signing the Bid. In the event any price term is expressed by the Bidder in both written and numerical form, the **written** representation shall govern in the event of an inconsistency.

Bids shall not stipulate any condition not contained in the specifications and other documents submitted for Bid.

Each Bid and all papers bound and attached thereto, shall be placed and securely sealed in an envelope marked "On Call Door Repair Services" and emailed to nbrown@duluthtransit.com or mailed or delivered to:

Procurement Manager
Duluth Transit Authority
2402 West Michigan Street
Duluth MN 55806

Bids must be received no later than 1:00 p.m. on Thursday, June 11, 2020. Time means local time in Duluth, Minnesota. Bids received after such time will not be considered. The DTA reserves the right to accept or reject any and/or all Bids in the best interest of the Authority.

No Bid may be modified after submission except by written modification physically received by the DTA prior to the time set for the opening of Bids. Modifications must be signed by the person submitting the Bid or accompanied by an explanation as to why it is not, and must indicate that it modifies the original Bid. Modifications shall be submitted in a securely sealed envelope marked as indicated on the Bid Form.

G-7 WITHDRAWAL OF BIDS

A Bidder may withdraw its Bid at any time before the time set for the opening of the Bids only by a written addressed to the Bid opening marked "WITHDRAWAL OF BID", and physically received by the DTA prior to the time for the opening of Bids.

G-8 CONSIDERATION OF BID

The DTA reserves the right, in the determination of the lowest, responsive and responsible Bidder, to consider the ultimate economy of the Bid within the guidelines of these specifications, to reject any and/or all Bids for no reason or any reason, including, but not limited to the determination that the Bid is incomplete, non-responsive, obscure or lacking necessary details and specificity; that the Bidder lacks the qualifications, experience and/or responsibility necessary to provide the goods or services; or that the Bidder failed or neglected to complete and submit any information within the time specified.

The DTA may accept all or any part of a Bid, cancel the RFB, issue subsequent RFBs, or waive any errors or informalities in any Bid, in the best interest of the DTA.

G-9 **ADDENDA**

It is the Bidders sole responsibility to assure the receipt of all procurement documents, including drawings, specifications, addenda, prevailing wage determinations, etc., pertaining to this Request for Bids. Documents will be posted online at www.duluthtransit.com. In addition, Bidders may inspect and/or obtain copies of the documents at the DTA offices, 2402 West Michigan Street, Duluth, MN 55806 during business hours.

G-10 CONTRACT FORM AND CHANGES

The chosen Bidder, within ten (10) days after the award of the contract from the DTA shall sign the formal Contract or purchase agreement.

An example Contract is included herein. Any proposed change in this Contract shall be submitted to the DTA Procurement Manager for approval prior to the Bid due date. Only written change orders, amendments or addenda signed by the Procurement Manager of the DTA shall be binding upon the DTA.

G-11 Indefinite Delivery, Indefinite Quantity. This is an Indefinite Delivery Indefinite Quantity (%DIQ+) Contract. The quantities of products or services in the Contract or Purchase Agreement are not guaranteed, and are subject to the discretion of the Director of Maintenance as the Project Manager, or authorized designee of the DTA.

Delivery of the Work under this Contract shall be performed in accordance with the applicable terms and conditions of this Contract, including any and all attachments incorporated by reference herein and modifications hereto. There is no limit to the amount of services provided under this Contract, except by order of the Project Manager or authorized designee of the DTA.

The DTA may issue a Task Order for specific work germane to the Contract to be done at the DTA Project Managers direction. Task Order Work shall be provided in accordance with the applicable terms and conditions of this Contract, including any and all attachments incorporated by reference herein and modifications hereto.

The DTA specifically reserves the right to directly perform the work or any portion of the work covered under this Contract, or to separately bid any work at the discretion of the Project Manager. No compensation will be paid to the Contractor for work not performed by the Contractor or its subcontractors.

G-12 BONDING REQUIREMENTS

No bid bond is required.

G-13 PRICE COMPLETE

The price quoted in any Bid or for any Task Order under this Contract shall include all items of services, labor, material, alterations, tools, equipment and other costs necessary to fully complete the production and delivery of the equipment or services pursuant to these specifications. It is the intention of these specifications to provide and require complete equipment and/or services of the type prescribed herein. Any items omitted from the specifications which are clearly necessary for the operation of such equipment and/or performance of such services shall be considered included in the Bid specifications although not directly specified or called for in these specifications. No advantage shall be taken by the Bidder, manufacturer or supplier in the omission of any part or detail which goes to make the equipment complete and ready for service or use, or to perform the services as herein required.

G-14 STATE, FEDERAL, OSHA SAFETY REQUIREMENTS

All work performed under this Contract shall conform to all latest local, state and federal safety requirements, and shall, in all cases, meet OSHA requirements. It shall be the Contractors responsibility to ensure complete compliance with these requirements.

G-15 PROTEST PROCEDURES

Protests will only be accepted from prospective bidders or offerors whose direct economic interest would be affected by the award of a contract or refusal to award a contract. The DTA General Manager will consider all such protests, whether submitted before or after the award of a contract. If oral objections are raised and the matter cannot be resolved to the satisfaction of the objector, a written protest shall be required before any further consideration is given. Protest submissions should be concise, logically arranged, and clearly state the grounds for the protest. Protest must include at least the following information:

- Name, address, and telephone number of protestor
- Identification of the solicitation or contract number
- A detailed statement of the legal and factual grounds of protest including copies of relevant documents
- A statement as to what relief is requested

All protest documents received by the DTA General Manager shall be stamped with date and time received and logged into a protest file folder with a copy to the Master File.

PROTESTS BEFORE AWARD

Protests before award must be submitted within the time as specified herein. If the written protest is not received by the time specified, the evaluation process shall continue in the normal manner unless the DTA Finance Director, upon investigation, finds that remedial action is desirable, in which event such action shall be taken.

The protests addressing the adequacy of Invitation for Bids or Request For Proposals, including, without limitation, the pre-award procedure, the Instructions to Bidders or Proposers, General Terms and Conditions, Technical Specifications and Scope of Work, must be filed at the DTA Finance Department no later than three (3) days before the scheduled opening date or the bid or proposal. Thereafter, such issues are deemed waived by all interested parties.

Notice of protest and the basis therefor shall be given to all bidders or proposers. In addition, when a protest against the making of an award is received and the DTA Finance Director determines to withhold the award pending disposition of the protest, the bidders or proposers whose bids or proposals might become eligible for award shall be requested, before expiration of the time for acceptance of their bids or proposals, to extend the time for acceptance (with consent of sureties, if any) to avoid the need for readvertising.

When a written protest against the making of an award is received, award shall not be made until five (5) days after the matter is resolved, unless the DTA General Manager determines that:

- " the items to be procured are urgently required; or
- " delivery or performance will be unduly delayed by failure to make the award promptly; or
- failure to make prompt award will otherwise cause undue harm to DTA or the State or the Federal Government.

In the event the DTA General Manager determines that the award is to be made during the fiveday period or during the pendency of protest, he/she shall notify the FTA prior to make such award. FTA preserves the right not to participate in such procurement.

If award is made, the DTA Finance Director shall document the file to explain the need for an award, and shall give written notice of the decision to proceed with the award to the protestor and, as appropriate, to others concerned.

PROTESTS AFTER AWARD

Protests against award must be filed at the DTA Finance Department within five (5) days immediately following the award. The DTA Finance Director shall review the protests. The contractor shall be furnished with the notice of protest and the basis therefor. Also, when it appears likely that an award may be invalidated and a delay in receiving the supplies or services is not prejudicial to DTA's interest, the DTA Finance Director shall inform the contractor that DTA will not be responsible if the award is set aside and that the contractor proceeds with performance at his/her own risk.

DECISION ON PROTEST

The DTA General Manager shall render his/her decision in writing within fourteen (14) days from the receipt of the written protest and shall provide written notice of such decision to all interested parties.

Following an adverse decision by the DTA General Manager, the protestor may file a protest with FTA. For details, see FTA Circular 4220.1F, as amended, which states that FTA will only review protests regarding the alleged failure of a grantee (here, the DTA) to have written protest procedures or alleged failure to follow such procedures.

G-16 ORGANIZATION CONFLICTS OF INTEREST

1. An organization conflict of interest means that because of other activities or relationships with other persons or entities, a Contractor is unable, or potentially unable to render impartial assistance or advice to the DTA, or the Contractors objectivity in performing the contract work is, or might be otherwise impaired, or the Contractor has an unfair competitive advantage. Organizational conflict of interest includes situations where the capacity of a Contractor (including the Contractors executives, directors, consultants, subsidiaries, parent companies or subcontractors) to give impartial, technically sound advice or objective assistance is or may

be impaired or may otherwise result in a biased work product because of any past, present or planned interest, financial or otherwise, in the DTA.

- 2. The Contractor is responsible for maintaining and providing up to date conflict of interest information to the DTAs Director of Finance If, after award of this contract or task order, the Contractor discovers a conflict of interest with respect to this contract or task order which could not reasonably have been known prior to award, or if any additional conflicts or potential conflicts arise after award, the Contractor shall give written notice to the DTAs Director of Finance as set forth below.
- 3. The Contractors notice called for in paragraph 2 above shall describe the actual, apparent or potential conflict of interest, the action(s) the Contractor has taken or proposes to take to avoid or mitigate any conflict, and shall set forth any other information which the Contractor believes would be helpful to the DTAs Director of Finance in analyzing the situation.
- 4. The Contractor has the responsibility of formulating and forwarding a proposed mitigation plan to the DTAs Director of Finance, for review and consideration. This responsibility arises when the Contractor first learns of an actual, apparent, or potential conflict of interest. Corporate counsel review of the proposed mitigation plan is necessary to ensure a timely review and final determination by the DTAs Director of Finance.
- 5. If the DTA\$ Director of Finance, in his/her discretion, determines that the Contractor\$ actual, apparent or potential conflict of interest remains, or the measures proposed are insufficient to avoid or mitigate the conflict, the DTA\$ Director of Finance will direct a course of action to the Contractor designed to avoid, neutralize, or mitigate the conflict of interest. If the parties fail to reach agreement on a course of action, or if having reached such agreement the Contractor fails to strictly adhere to such agreement during the remaining period of contract performance, the DTA\$ Director of Finance has the discretion to terminate the contract for default. No determination by the DTA\$ Director of Finance under this clause shall be reviewable under FAR Clause 52.233-1, \$\mathbb{Q}\$ isputes Clause (MAY 2014),+which is also incorporated by reference herein.
- 6. The Contractors misrepresentation of facts in connection with a conflict of interest reported or a Contractors failure to disclose a conflict of interest as required shall be a basis for default termination of this contract.

G-17 TAXES

The DTA is exempt from payment of the Federal excise, transportation tax, Minnesota State sales tax and City of Duluth City sales tax. Contractor should include sales tax for materials purchased by the Contractor in the performance of a Task Order, unless directed otherwise by the DTA Project Manager. Please note Minnesota Department of Revenue Notice #17-10, % ales and Use Tax+for further information.

For those projects that are funded by the Minnesota Department of Transportation, Contractor shall submit a Minnesota form IC-134 with the request for final payment.

G-18 OUT OF STATE VENDORS

Unless a Certificate of Exemption is provided, any out of state Bidder receiving a Bid award will have eight percent (8%) retained from invoice payments on contracts over \$50,000. Submit a signed copy from the State of Minnesota when submitting Payment and Performance Bonds (as applicable). This form may be found at the following web address: http://taxes.state.mn.us.formsandinstructions/sde.pdf.

G-19 PROMPT PAYMENT TO SUBCONTRACTORS

In accordance with Minnesota §337.10(3), Contractor shall pay any subcontractor or material supplier within ten (10) days of receipt by the party responsible for payment of undisputed services provided by the party requesting payment. The Contractor shall pay interest of at

least one and one half percent (1-1/2%) per month to the party requesting payment on any undisputed amount not paid on time. The minimum monthly interest payment for any unpaid balance of \$100 or more is \$10. For an unpaid balance of less than \$100, the party responsible for payment shall pay the actual penalty due to the party requesting payment. A party requesting payment must be awarded its costs and disbursements, including attorneys fees incurred in bringing the action.

Contractor shall further require this provision to be included in all contracts between subcontractors and sub-subcontractors of any tier.

G-20 **SUBCONTRACTORS**

The Bidder shall disclose all subcontractors and their involvement in the Contract at the time of Bid submittal. The Contractor shall insert the required Federal and State provisions in every subcontract. Contractor shall provide with each pay application submitted to the DTA, a separate, itemized summary of all retainage Contractor has withheld from subcontractors and suppliers on the contract.

G-21 REQUIRED INSPECTIONS

The Contractor is responsible for requesting and scheduling any required building code inspections for all work requested under this Contract, including work completed by any subcontractor of any tier, and shall provide the DTA with a copy of all inspection reports.

G-22 MINNESOTA NONDISCRIMINATION REQUIREMENTS

In accordance with Minnesota §181.59, % ISCRIMINATION ON ACCOUNT OF RACE, CREED, OR COLOR PROHIBITED IN CONTRACT+

The Contractor hereby agrees and shall cause this provision to be inserted in every subcontract the following:

- (1) that, in the hiring of common or skilled labor for the performance of any work under any contract, or any subcontract, no contractor, material supplier, or vendor, shall, by reason of race, creed, or color, discriminate against the person or persons who are citizens of the United States or resident aliens who are qualified and available to perform the work to which the employment relates;
- (2) that no contractor, material supplier, or vendor, shall, in any manner, discriminate against, or intimidate, or prevent the employment of any person or persons identified in clause (1) of this section, or on being hired, prevent, or conspire to prevent, the person or persons from the performance of work under any contract on account of race, creed, or color;
- (3) that a violation of this section is a misdemeanor; and
- (4) that this Contract may be canceled or terminated by the state, county, city, or the Duluth Transit Authority, or any other person authorized to grant the contracts for employment, and all money due, or to become due under the Contract, may be forfeited for a second or any subsequent violation of the terms or conditions of this contract.

G-23 MINNESOTA RESPONSIBLE CONTRACTOR

Respondents to this solicitation document shall submit a signed statement under oath by an owner or officer verifying compliance with each of the minimum criteria for a %esponsible contractor+found in Minnesota Statutes, Section 16C.285, subdivision 3. The term %esponsible contractor+means a contractor as defined in Minnesota Statutes Section 16C.285, subdivision 3.

G-24 **SINGLE BID RESPONSE**

Procurement # 041-20-0001

If only one Bid is received in response to this RFB, a detailed cost/price analysis may be requested of the Bidder. A cost or cost and price analysis and evaluation and/or audit of the cost may be performed in order to determine if the price is fair and reasonable. If the DTA Procurement Manager determines a cost analysis is required, Bidder must be prepared to provide, upon request, cost summaries of estimated costs (i.e. labor, equipment, supplies, overhead costs, etc.) and documentation supporting all cost elements.

SIGNIFICANT DATES OF PROCUREMENT

Date of Release May 26, 2020

Prebid Meeting 1:00 9.m. Tuesday, June 2, 2020

Requests for Changes/Clarifications 2:00 p.m., Friday, June 5, 2020

Responses to Changes/Clarifications Monday, June 8, 2020

Bid Opening 1:00 p.m. June 11, 2020

FEDERAL TRANSIT ADMINISTRATION

Contract Clauses

A.1 ACCESS TO RECORDS 49 U.S.C. § 5325(g)

Clause Language

- a. <u>Records Retention.</u> The Contractor will retain, and will requires its subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the contract; including, but not limited to, data, documents, reports, statistics, sub-agreements, leases, subcontracts, arrangements, other third party agreements of any type, and supporting materials related to those records.
- b. <u>Retention Period.</u> The Contractor agrees to comply with the record retention requirements in accordance with 2 C.F.R. § 200.333. The Contractor shall maintain all books, records, accounts and reports required under this Contract for a period of not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation claims or exceptions related thereto.
- c. <u>Access to Records</u>. The Contractor agrees to provide sufficient access to FTA and its contractors to inspect and audit records and information related to performance of this contract as reasonably may be required.
- d. <u>Access to the Sites of Performance</u>. The Contractor agrees to permit FTA and its contractors access to the sites of performance under this Contract as reasonably may be required.

A.2 BONDING REQUIREMENTS 2 CFR §200.325 31 CFR Part 223

Does not apply to this contract

A.3 BUS TESTING 49 U.S.C. 5318(E), 49 CFR Part 665 Does not apply to this procurement

A.4 BUY AMERICA REQUIREMENTS 49 U.S.C. 5323 (J), 49 CFR Part 661 Does not apply to this procurement

boes not apply to this procurement

A.5 CARGO PREFERENCE REQUIREMENTS 46 U.S.C. §55.05 46 C.F.R. Part 381

Does not apply to this procurement

A.6 CHARTER SERVICE

49 U.S.C.5323(d) and (r) 49 C.F.R. Part 604 Does not apply to this procurement

A.7 CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

49 U.S.C §§7401-7671q 33 U.S.C §§1251-1387 2 C.F.R. Part 200, Appendix II (G) Does not apply to this procurement

Procurement # 041-20-0001

A.8 CIVIL RIGHTS LAWS AND REGULATIONS

Civil Rights and Equal Opportunity

The Duluth Transit Authority is an Equal Opportunity Employer. As such, the Duluth Transit Authority agrees to comply with all applicable Federal civil rights laws and implementing regulations. Apart from inconsistent requirements imposed by Federal laws or regulations, the Duluth Transit Authority agrees to comply with the requirements of 49 U.S.C. §5323(h) (3) by not using any Federal assistance awarded by FTA to support procurements using exclusionary or discriminatory specifications.

Under this Agreement, the Contractor shall at all times comply with the following requirements and shall include these requirements in each subcontract entered into as part thereof.

- 1. **Nondiscrimination.** In accordance with Federal transit law at 49 U.S.C. §5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, national origin, sex, disability, or age. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
- 2. Race, Color, Religion, National Origin, Sex. In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. §5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, % office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, % 41 C.F.R. Chapter 60, and Executive Order No. 11246, % qual Employment Opportunity in Federal Employment, September 24, 1965, 42 U.S.C. §2000e note, as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. §2000e note. The Contractor agrees to take affirmative action to ensure that applicants re employed, and that employees are treated during employment, without regard to their race, color, religion, national origin, or sex (including sexual orientation and gender identity). Such action shall include, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- 3. **Age.** In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621-634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, % ge Discrimination in Employment Act,+29 C.F.R. Part 1625, the Age Discrimination Act if 1975, as amended, 42 U.S.C. §6101 *et. Seq.,* U.S. Health and Human Services regulations, % Indiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance,+45 C.F.R. Part 90, and Federal transit law at 49 U.S.C. §5332, the Contractor agrees to refrain from discrimination against present and prospective employees for the reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.
- 4. **Disabilities.** In accordance with Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. §794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. §12101 *et. Seq.,* the Architectural Barriers Act of 1968, as amended, 42 U.S.C. §4151 *et. Seq.,* and Federal transit law at 49 U.S.C. §4332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

A.9 <u>DISADVANTAGED BUSINESS ENTERPRISE (DBE)</u> 49 CFR Part 26

Clause/Language

It is the policy of the Duluth Transit Authority and the United States Department of Transportation (%DOT+) that Disadvantaged Business Enterprises (%DBE(\$+)), as defined herein and in the Federal regulations published at 49 C.F.R. Part 26, shall have an equal opportunity to participate in DOT-assisted contracts. It is also the policy of the Duluth Transit Authority to:

- 1. ensure nondiscrimination in the award and administration of DOT-assisted contracts;
- 2. Create a level playing field on which DBEs can compete fairly for DOT-assisted contracts;
- 3. Ensure that the DBE program is narrowly tailored in accordance with applicable law;
- 4. Ensure that only firms that fully meet 49 C.F.R. Part 26 eligibility standards are permitted to participate as DBEs:
- 5. Help remove barriers to the participation of DBEs in DOT assisted contracts;
- 6. To promote the use of DBEs in all types of federally assisted contracts and procurement activities; and
- 7. Assist in the development of firms that can compete successfully in the marketplace outside the DBE program.

This contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26. Therefore, the Contractor must satisfy the requirements for DBE participation as set forth herein. These requirements are in addition to all other equal opportunity employment requirements of this Contract. The Duluth Transit Authority shall make all determinations with regard to whether or not a Bidder/Offeror is in compliance with the requirements stated herein. In assessing compliance, the Duluth Transit Authority may consider during its review of the Bidder/Offeror submission package, the Bidder/Offeror/s documented history of non-compliance with DBE requirements on previous contracts with the Duluth Transit Authority.

Contractor Assurance

The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as Duluth Transit Authority deems appropriate, which may include, but is not limited to:

- 1. Withholding monthly progress payments
- 2. Assessing sanctions;
- 3. Liquidated damages; and/or
- 4. Disqualifying the contractor from future bidding as non-responsible. 49 C.F.R. §26.13(b).

DBE Participation

For purposes of this Contract, the Duluth Transit Authority will only accept DBEs who are:

- 1. Certified at the time of the bid opening or proposal evaluation by the Unified Certification Program; or
- 2. An out of state firm who has been certified by either a local government, state government or Federal government entity authorized to certify DBE status or an agency whose DBE certification process has received FTA approval; or
- 3. Certified by another agency approved by the Duluth Transit Authority. DBE Participation Goal

There is no DBE participation goal for this Contract.

The contractor is required to pay its subcontractors performing work related to this contract for satisfactory performance of that work no later than 30 days after the contractors receipt of payment for that work from the Duluth Transit Authority or in accordance with state statutes, whichever if more restrictive. In addition, the contractor is required to return any retainage payments to those subcontractors within 30 days (or in accordance with state law, whichever is more restrictive) after the subcontractors work related to this contract is satisfactorily completed.

The contractor must promptly notify Duluth Transit Authority, whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of Duluth Transit Authority.

A.10 EMPLOYEE PROTECTIONS

49 U.S.C. §5333(a), 40 U.S.C. §§3141-3148 29 C.F.R. Part 5, 18 U.S.C. §874 29 C.F.R. Part 3, 40 U.S.C. §§3701-3708, 29 C.F.R. Part 1926

Clause Language

Prevailing Wage and Anti-Kickback

For all prime construction, alteration or repair contracts in excess of \$2,000 awarded by FTA, the Contractor shall comply with the Davis-Bacon Act and the Copeland %Anti-Kickback+Act. Under 49 U.S.C. § 5333(a), prevailing wage protections apply to laborers and mechanics employed on FTA assisted construction, alteration, or repair projects. The Contractor will comply with the Davis-Bacon Act, 40 U.S.C. §§ 3141-3144, and 3146-3148 as supplemented by DOL regulations at 29 C.F.R. part 5, %abor Standards Provisions Applicable to Contracts Governing Federally Financed and Assisted Construction.+In accordance with the statute, the Contractor shall pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, the Contractor agrees to pay wages not less than once a week. The Contractor shall also comply with the Copeland %Anti-Kickback+Act (40 U.S.C. § 3145),

as supplemented by DOL regulations at 29 C.F.R. part 3, %Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in part by Loans or Grants from the United States.+The Contractor is prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled.

Contract Work Hours and Safety Standards

For all contracts in excess of \$100,000 that involve the employment of mechanics or laborers, the Contractor shall comply with the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 3701-3708), as supplemented by the DOL regulations at 29 C.F.R. part 5. Under 40 U.S.C. § 3702 of the Act, the Contractor shall compute the wages of every mechanic and laborer, including watchmen and guards, on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchase of supplies or materials or articles ordinarily available on the open market, or to contracts for transportation or transmission of intelligence.

In the event of any violation of the clause set forth herein, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, the Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of this clause in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by this clause. The FTA shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in this section.

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this agreement.

Contract Work Hours and Safety Standards for Awards Not Involving Construction

The Contractor shall comply with all federal laws, regulations, and requirements providing wage and hour protections for non-construction employees, in accordance with 40 U.S.C. § 3702, Contract Work Hours and Safety Standards Act, and other relevant parts of that Act, 40 U.S.C. § 3701 *et seq.*, and U.S. DOL regulations, % Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (also Labor Standards Provisions Applicable to Non-construction Contracts Subject to the Contract Work Hours and Safety Standards Act),+29 C.F.R. part 5.

The Contractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three (3) years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.

Such records maintained under this paragraph shall be made available by the Contractor for inspection, copying, or transcription by authorized representatives of the FTA and the Department of Labor, and the Contractor will permit such representatives to interview employees during working hours on the job. The contractor shall require the inclusion of the language of this clause within subcontracts of all tiers.

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42 U.S.C. 6321 et seq. 49 CFR Part 622, Subpart C

Clause Language

Energy Conservation. The contractor agrees to comply with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

A.12 <u>FLY AMERICA</u> 49 U.S.C. §40118, 41 C.F.R. Part 301-10 48 C.F.R. Part 47.4

Does not apply to this procurement

A.13 GOVERNMENT-WIDE DEBARMENT AND SUSPENSION

2 C.F.R. Part 180, 2 C.F.R. Part 1200, 2 C.F.R. §200.213 2 C.F.R. Part 200, Appendix II (I) Executive Order 12549, Executive Order 12689

Debarment, Suspension, Ineligibility and Voluntary Exclusion

The Contractor shall comply and facilitate compliance with U.S. DOT regulations, Monprocurement Suspension and Debarment,+2 C.F.R. part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) Mudelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement),+2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- a) Debarred from participation in any federally assisted Award;
- b) Suspended from participation in any federally assisted Award;
- c) Proposed for debarment from participation in any federally assisted Award;
- d) Declared ineligible to participate in any federally assisted Award;
- e) Voluntarily excluded from participation in any federally assisted Award; or
- f) Disqualified from participation in ay federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the Duluth Transit Authority. If it is later determined by the Duluth Transit Authority that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the Duluth Transit Authority, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

A. 14 LOBBYING RESTRICTIONS
31 U.S.C. 1352, 2 CFR §200.450
2 C.F.R. Part 200 Appendix II (J), 49 C.F.R. Part 20
Does not apply to this procurement

A.15 NO GOVERNMENT OBLIGATION TO THIRD PARTIES

No Federal Government Obligation to Third Parties.

The Recipient and Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying Contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities to the Recipient, Contractor or any other party (whether or not a party to

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that contract) pertaining to any matter resulting from the underlying Contract. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by the FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

A.16 PATENT RIGHTS AND RIGHTS IN DATA

2 C.F.R. part 200, Appendix II (F) 37 C.F.R. part 401 Does not apply to this procurement

A.17 PRE-AWARD AND POST-DELIVERY AUDITS OF ROLLING STOCK PURCHASES

49 U.S.C. 5323 (m), 49 C.F.R. Part 663 Does not apply to this procurement

A.18 PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS

49 U.S.C. § 5323(I) (1), 31 U.S.C. §§ 3801-3812 18 U.S.C. § 1001, 49 C.F.R. part 31

Program Fraud and False or Fraudulent Statements or Related Acts

The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 *et seq.* and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.

The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. chapter 53, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5323(I) on the Contractor, to the extent the Federal Government deems appropriate.

The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

A.19 PUBLIC TRANSPORTATION EMPLOYEE PROTECTIVE ARRANGEMENTS

49 U.S.C. § 5333(b) (%3(c)+), 29 C.F.R. part 215 Does not apply to this procurement

A.20 RECYCLED PRODUCTS

42 U.S.C. § 6962 , 40 C.F.R. part 247 2 C.F.R. part § 200.322

Recovered Materials

The Contractor agrees to provide a preference for those products and services that conserve natural resources, protect the environment, and are energy efficient by complying with and facilitating compliance with Section 6002 of the Resource Conservation and Recovery Act, as amended, 42 U.S.C. § 6962, and U.S. Environmental Protection Agency (U.S. EPA), Comprehensive Procurement Guideline for Products Containing Recovered Materials,+40 C.F.R. part 247.

A.21 SAFE OPERATION OF MOTOR VEHICLES

23 U.S.C. part 402, Executive Order No. 13043 Executive Order No. 13513, U.S. DOT Order No. 3902.10

Seat Belt Use

The Contractor is encouraged to adopt and promote on-the-job seat belt use policies and programs for its employees and other personnel that operate company-owned vehicles, company-rented vehicles, or personally operated vehicles. The terms company-owned+and company-leased+refer to vehicles owned or leased either by the Contractor or the Duluth Transit Authority.

Distracted Driving

The Contractor agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contactor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the work performed under this agreement.

A.22 SCHOOL BUS OPERATIONS

49 U.S.C. 5323(f), 49 C.F.R. part 605 Does not apply to this procurement

A.23 SEISMIC SAFETY

42 U.S.C. 7701 *et seq.*, 49 C.F.R. part 41 Executive Order (E.O.) 12699 Does not apply to this procurement

A.24 SUBSTANCE ABUSE REQUIREMENTS

49 U.S.C. § 5331, 49 C.F.R. part 655 49 C.F.R. part 40 Does not apply to this contract

A.25 TERMINATION

2 C.F.R. § 200.339, 2 C.F.R. part 200, Appendix II (B)

Clause Language

Termination for Convenience (General Provision)

The Duluth Transit Authority may terminate this contract, in whole or in part, at any time by written notice to the Contractor when it is in the Duluth Transit Authoritys best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit its termination claim to the Duluth Transit Authority to be paid the Contractor. If the Contractor has any property in its possession belonging to the Duluth Transit Authority, the Contractor will account for the same, and dispose of it in the manner the Duluth Transit Authority directs.

Termination for Default [Breach or Cause] (General Provision)

If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, the Duluth Transit Authority may terminate this contract for default. Termination shall be effected by serving a Notice of Termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will be paid only the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract

If it is later determined by the Duluth Transit Authority that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the Contractor, the Duluth Transit Authority, after setting up a new delivery of performance schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

Opportunity to Cure (General Provision)

The Duluth Transit Authority, in its sole discretion may, in the case of a termination for breach or default, allow the Contractor ten (10) days in which to cure the defect. In such case, the Notice of Termination will state the time period in which cure is permitted and other appropriate conditions to cure the defect.

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If Contractor fails to remedy to the Duluth Transit Authority's satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within ten (10) days after receipt by Contractor of written notice from the Duluth Transit Authority setting forth the nature of said breach or default, the Duluth Transit Authority shall have the right to terminate the contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude the Duluth Transit Authority from also pursuing all available remedies against Contractor and its sureties for said breach or default.

Waiver of Remedies for any Breach

In the event that the Duluth Transit Authority elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this contract, such waiver by the Duluth Transit Authority shall not limit the Duluth Transit Authority remedies for any succeeding breach of that or of any other covenant, term, or condition of this contract.

Termination for Default (Supplies and Service)

If the Contractor fails to deliver supplies or to perform the services within the time specified in this contract or any extension, or if the Contractor fails to comply with any other provisions of this contract, the Duluth Transit Authority may terminate this contract for default. The Duluth Transit Authority shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of the default. The Contractor will only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner or performance set forth in this contract.

If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Duluth Transit Authority.

Termination for Default (Construction)

If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will ensure its completion within the time specified in this contract or any extension or fails to complete the work within this time, or if the Contractor fails to comply with any other provision of this contract, the Duluth Transit Authority may terminate this contract for default. The Duluth Transit Authority shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of the default. In this event, the Duluth Transit Authority may take over the work and compete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Duluth Transit Authority resulting from the Contractor's refusal or failure to complete the work within specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Duluth Transit Authority in completing the work.

The Contractor's right to proceed shall not be terminated nor shall the Contractor be charged with damages under this clause if:

- 1. The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include: acts of God or Nature, acts of the Duluth Transit Authority, acts of another contractor in the performance of a contract with the Duluth Transit Authority acts of another contractor in the performance of a contract with the Duluth Transit Authority, epidemics, quarantine restrictions, strikes, freight embargoes; and
- 2. The Contractor, within three (3) days from the beginning of any delay, notifies the Duluth Transit Authority in writing of the causes of delay. If, in the judgment of the Duluth Transit Authority, the delay is excusable, the time for completing the work shall be extended. The judgment of the Duluth Transit Authority shall be final and conclusive for the parties, but subject to appeal under the Disputes clause(s) of this contract.
- If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Duluth Transit Authority.

A.26 <u>VIOLATION AND BREACH OF CONTRACT</u>

2 C.F.R. § 200.326, 2 C.F.R. part 200, Appendix II (A)

Does not apply to this procurement

DULUTH TRANSIT AUTHORITY

CONTRACT EXAMPLE FOR

On Call Door Repair Services

May 26, 2020

Section 3 Contract (example for bidding)

This Contract, made as of	, 2020, by and between	, a
(corporation, etc.)	located at, herea	after referred to as %Gontractor+,
and the Duluth Transit Authority, 2402	2 W. Michigan St., Duluth, MN, h	ereafter referred to as %DTA+
The DTA and Contractor agree as set	t forth below.	

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents in priority order consist of the Federal Transit Administration Contract Clauses; this Contract; Request for Bids (Procurement # 041-20-0001) dated May 26, 2020, General Special and Technical Specifications and Drawings; prevailing wage schedules; all addenda issued prior to and all modifications issued after execution of the Contract; and the Contractors executed Bid form and required certificates; all as fully a part of the Contract as if attached to this Contract or repeated herein.

ARTICLE 2 THE WORK

The Contractor shall perform the work required by the Contract Documents for: Request for Bids for the provision of On Call Door Repair Services at DTA buildings in accordance with the generally accepted standards of the profession for services of this type.

ARTICLE 3 TIME OF COMMENCEMENT, OPTION TO RENEW

The term of this Contract shall commence upon %Notice to Proceed+by the DTA, and shall continue through December 31, 2023, unless terminated earlier as set forth herein.

The DTA, may, at its sole discretion, extend the term of this contract for a period of one (1) year, from January 1, 2024 through December 31, 2024 upon written notice from the DTA Procurement Manager no later than December 1, 2023. If the DTA extends the term of this Contract in accordance with the foregoing, all of the terms and conditions of this Contract shall continue, unmodified, in full force and effect, except that payment to the Contractor shall be increased as set forth in the Contractors bid.

The DTA, may, at its sole discretion, extend the term of this contract for a period of one (1) year, from January 1, 2025 through December 31, 2025 upon written notice from the DTA Procurement Manager no later than December 1, 2024. If the DTA extends the term of this Contract in accordance with the foregoing, all of the terms and conditions of this Contract shall continue, unmodified, in full force and effect, except that payment to the Contractor shall be increased as set forth in the Contractors bid.

ARTICLE 4 CONTRACT SUM

The DTA shall pay the Contractor in current funds for the performance of the work, subject to additions and deductions by Change Orders as provided in the Contract Documents in the amount accepted. The Contract annual and hourly rates are contained in the Contractors Bid. The DTA will also reimburse for all approved parts.

ARTICLE 5 PAYMENTS TO CONTRACTOR

Terms of payment shall be thirty (30) days net from the conclusion of the month for which payment is due, unless a discount for earlier payment is solicited by the DTA and offered by the Contractor. DTA may withhold payment for Contractors services where the services are in dispute, where the services or any claimed reimbursable expenses are not documented or warranted, or when the service was not performed in accordance with the terms of the Contract Documents.

Payment does not imply acceptance of work. The granting of any progress payment or payments by DTA, or the receipt thereof by the Contractor, shall not constitute in any sense acceptance of the work or any portion thereof, and shall in no way lessen the requirements of the Contractor to replace

unsatisfactory work or material, though the unsatisfactory character of such work or material may not have been apparent or detected at the time such payment was made. Material, components or workmanship which does not conform to the instruction of these contract requirements and specifications are not equal to samples submitted to and approved by the DTA Project Manager will be rejected and shall be replaced by the Contractor without delay.

ARTICLE 6 INVOICES

All invoices shall include supporting documentation of the quantities and details to the DTA Director of Finances satisfaction to support the pay request. Invoices should be forwarded to:

Duluth Transit Authority Director of Finance 2402 West Michigan Street Duluth, MN 55806

ARTICLE 7 CONTRACTOR CHANGES

The DTA or the Contractor may, from time to time, request changes in the scope of the services to be performed hereunder. Such changes, including the increase or decrease in the amount of the Contractors compensation, which are mutually agreed upon by and between the DTA Procurement Manager and the Contractor, shall be incorporated in written amendments to the Contract.

ARTICLE 8 INDEMNIFICATION

The Contractor shall defend, indemnify and save the DTA, ATE Management of Duluth, and First Transit, Inc., harmless from all costs, charges, damages, and loss of any kind that may grow out of the matter covered by this Contract. Said obligation does not include indemnification of the DTA, ATE Management of Duluth, and First Transit, Inc., for claims of liability arising out of the sole negligent or intentional acts or omissions of the DTA, ATE Management of Duluth, and First Transit, Inc., but shall include, but not be limited to, the obligation to defend, indemnify and save harmless the DTA, ATE Management of Duluth, and First Transit, Inc. in all cases where claims of liability against the DTA, ATE Management of Duluth and First Transit, Inc. arise out of acts or omissions of DTA, ATE Management of Duluth, and First Transit, Inc., which are derivative of the negligence or intentional acts or omissions of the Contractor such as, and including but not limited to, the failure to supervise, the failure to warn, the failure to prevent such act or omission by Contractor and other such source of liability. In addition, Contractor will comply with all local, state and federal laws, rules and regulations applicable to this Contract and to the work to be done and things to be supplied hereunder.

ARTICLE 9 INSURANCE

- a. Contractor shall provide the following minimum amounts of insurance from insurance companies authorized to do business in the State of Minnesota, which insurance shall indemnify Contractor and DTA, ATE Management of Duluth and First Transit, Inc. from all liability described in the paragraph above.
 - (1) Workersqcompensation in accordance with the laws of the state of Minnesota.
 - Public Liability and Automobile Liability Insurance with limits not less than \$1,500,000 Single Limit and twice the limits provided when a claim arises out of the release or threatened release of a hazardous substance; shall be in a company approved by the DTA; and shall provide for the following: Liability for Premises, Operations, Completed Operations, Independent Contractors, and Contractual Liability.

(3) DTA, ATE Management of Duluth, and First Transit, Inc. shall be named as an **Additional Insured** under the Public Liability, Excess/Umbrella Liability* and Automobile Liability, or as an alternate, Contractor may provide Owners-Contractors Protective policy, naming itself and the DTA, ATE Management of Duluth, and First Transit, Inc. Contractor shall also provide evidence of Statutory Minnesota Workers Compensation Insurance. Contractor to provide Certificate of Insurance evidencing such coverage with 30-daysqnotice of cancellation, non-renewal or material change provisions included. The DTA does not represent or guarantee that these types or limits of coverage are adequate to protect the Contractor's interests and liabilities.

*An umbrella policy with a "following form" provision is acceptable if written verification is provided that the underlying policy names the DTA, ATE Management, Inc., and First Transit Inc., as an additional insured.

- (4) If a certificate of insurance is provided, the form of the certificate shall contain an unconditional requirement that the insurer notify the DTA Procurement Manager without fail not less than thirty (30) days prior to any cancellation, non-renewal or modification of the policy or coverages evidenced by said certificate and shall further provide that failure to give such notice to DTA will render any such change or changes in said policy or coverages ineffective as against the DTA.
- b. The insurance required herein shall be maintained in full force and effect during the life of this Contract and shall protect Contractor, its employees, agents and representatives from claims and damages including but not limited to personal injury and death and any act or failure to act by Contractor, its employees, agents and representatives in the negligent performance of work covered by this Contract.
- c. Certificates showing that Contractor is carrying the above described insurance in the specified amounts shall be furnished to the DTA Procurement Manager prior to the execution of this Contract and a certificate showing continued maintenance of such insurance shall be on file with the DTA during the term of this Contract.
- d. Contractor shall be required to provide insurance meeting the requirements of this Paragraph unless Contractor successfully demonstrates to the satisfaction of the City Attorney, in the exercise of his or her discretion, that such insurance is not reasonably available in the market. If Contractor demonstrates to the satisfaction of the City Attorney that such insurance is not reasonably available, the City Attorney may approve an alternative form of insurance which is reasonably available in the market which he or she deems to provide the highest level of insurance protection to the DTA.

ARTICLE 10

RECORDS AND INSPECTIONS

a. Establishment and Maintenance of Records
Records shall be maintained by Contractor in accordance with requirements prescribed
by DTA and with respect to all matters covered by this Contract. Such records shall be
maintained for a period of six (6) years after receipt of final payment under this
Contract.

b. Documentation of Costs

Contractor will ensure that all costs shall be supported by properly executed payrolls, time records, invoices, contracts, vouchers or other official documentation evidencing in proper detail the nature and propriety of the charges. All checks, payrolls, invoices, contracts, vouchers, orders or other accounting documents pertaining in whole or in part to this Contract shall be clearly identified and readily accessible.

c. Reports and Information

Contractor shall be responsible for furnishing to DTA records, data and information as DTA may require pertaining to matters covered by this Contract.

d. Audits and Inspections

Contractor shall ensure that at any time during normal business hours and as often as DTA may deem necessary, there shall be made available to DTA for examination, all of its records with respect to all matters covered by this Agreement. Contractor will also permit DTA to audit, examine and make excerpts or transcripts from such records, and to make audits of all contracts, invoices, materials, payrolls, records of personnel, conditions of employment, and other data relating to all matters covered by this Contract.

e. MN Government Data Practices Act

Contractor must comply with the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13, as it applies to all data provided by the DTA under this contract, and as it applies to all data created, collected, received, stored, used, maintained or disseminated by Contractor under this contract. The civil remedies of Minnesota Statutes Section 13.08 apply to the release of the data referred to in this clause by the Contractor. If Contractor receives a request to release the data referred to in this clause, Contractor must immediately notify the DTA General manager and consult with the DTA as to how Contractor should respond to the request. Contractors response to the request must comply with applicable law.

f. Ownership of Data

All survey notes, reports, design plans, specifications, special studies, records and other data prepared under this Agreement shall become the property of DTA when prepared and shall be delivered to the DTA General Manager upon completion or termination of the services of Contractor or at such earlier time as requested by the DTA.

ARTICLE 11 INDEPENDENT CONTRACTOR

That at all times and for all purposes hereunder, Contractor shall be an independent contractor and is not an employee of the DTA for any purpose. No statement contained in this Contractor shall be construed so as to find Contractor to be an employee of the DTA, and Contractor shall not be entitled to any of the rights, privileges, or benefits of employees of the DTA, including, but not limited to, workersqcompensation, health/death benefits, and indemnification for third-party personal injury/property damage claims.

ARTICLE 12 SEVERABILITY

In the event any provision herein shall be deemed invalid or unenforceable, the remaining provisions shall continue in full force and effect and shall be binding upon the parties to this Contract.

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ARTICLE 13 SUBCONTRACTING AND ASSIGNMENTS

Contractor shall not subcontract or assign this Contract or any portion thereof without the prior written approval of the DTA General Manager.

ARTICLE 14 COMMUNICATIONS

Communications in connection with this Contract shall be in writing and shall be delivered personally; or by e-mail or by regular, registered, or certified mail addressed to the officer(s) or employee(s) of the DTA and of the Contractor designated to receive such communications. Telephone calls may be used to expedite communications but shall not be official communication unless confirmed in writing.

Designation for DTA	Designation for Bidder
Jim Caywood, Director of Maintenance	

ARTICLE 15 EXTENT OF AGREEMENT

This Contract represents the entire and integrated agreement between the DTA and the Contractor and supersedes all prior negotiations, representations or agreements, either written or oral. This Contract may be amended only by written instrument signed by both the DTA General Manager and Contractor.

ARTICLE 16 GOVERNING LAW

This Contract shall be governed by the applicable laws of the City of Duluth and State of Minnesota. The appropriate venue and jurisdiction for any litigation hereunder shall be in the court located in St. Louis County, Minnesota. However, litigation in Federal Courts involving the parties shall be in the appropriate federal court in the State of Minnesota.

ARTICLE 17 RIGHTS AND REMEDIES

The duties and obligations imposed by the Contract and the rights and remedies hereunder shall be in addition to and not in limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. Failure of the DTA to act shall in no way constitute a waiver of any right or duty afforded to it under the Contract, nor shall any such action or failure to act constitute an approval of or an acquiescence in any breach of this Contract, except as may be specifically agreed to in writing by the DTA.

ARTICLE 18 DELAYS

Contractor shall notify the DTA Procurement Manager in writing of any delays, including all relevant details, immediately upon encountering any difficulties which threaten to delay the timely performance of this Contract, and may at that time or subsequently request an extension of the delivery date or schedule. However, such notification or request or acceptance of belated equipment shall not constitute acceptance of the delay, or request for extension, without written acceptance by the DTA Procurement Manager as a change in the Contract.

ARTICLE 19 NO THIRD-PARTY RIGHTS

This Contract is to be construed and understood solely as a Contract between the DTA and shall not be deemed to create any rights in any other person. No person shall have the right to make claim that she or he is a third-party beneficiary of this Contract or of any of the terms and conditions hereof, which, as between the DTA and Contractor, may be waived at any time by mutual agreement.

ARTICLE 20 CANCELLATION

The DTA shall have the right to cancel this Contract if the DTAs governing body does not appropriate moneys to the department or agency in an amount equal to the cost of this Contract.

Procurement # 041-20-0001

ARTICLE 21 PROVISIONS HELD INVALID

If any provision of this Contract is held invalid, such holding shall not affect the validity of the remainder of the Agreement.

ARTICLE 22 COUNTERPARTS

This Agreement may be executed in two or more counterparts, each of which shall be deemed to be an original as against any party whose signature appears thereon, but all of which together shall constitute but one and the same instrument. Signatures to this Agreement transmitted by facsimile, by electronic mail in %cortable document format+ (%pdf+), or by any other electronic means which preserves the original graphic and pictorial appearance of the Agreement, shall have the same effect as physical delivery of the paper document bearing the original signature.

This Contract entered into as of the day and year	r first written above.
By: Duluth Transit Authority	Ву:
General Manager	Title:

BID SHEETS

On Call Door Repair Services

May 26, 2020

Section 4

FORMAL BID SHEET

DTA % Call Door Repair Services+

NOTE: All bids must be written, signed and transmitted in a sealed envelope, plainly marked with bid number, subject matter and opening date. ALL taxes, fees, delivery, and installation must be included.

1. **DIRECT LABOR**

	6/2020 to 12/31/2023	2024 % increase %	2025 increase
Annual Service Cost (for inspection and preventative maintenance)		% _	%
Standard Hourly Rate (nonroutine work) 6:30 am-5:00 pm Monday through Friday		%	%
After Hour Rate (nonroutine work) After 5:00 p.m. weekdays		% .	%
Saturday Hourly Rate (nonroutine work)		%	%
Sunday and Holiday Hourly Rate		%	%
	2020-2021	2022	2023
Parts Mark-up Rate			

Pedestrian Doors

Service pedestrian and service doors at four DTA locations in accordance with the specifications herein:

DIRECT LABOR	6/2020 to 12/31/2023	2024	2025
Annual Service Cost (for inspection and preventative maintenance)			
Standard Hourly Rate (nonroutine work) 6:30 am-5:00 pm Monday through Friday			
After Hour Rate (non-routine work) 5:00 pm ó 6:30 am Monday through Friday			
Saturday Hourly Rate (non-routine we	ork)		
Sunday and Holiday Hourly Rate			
Proposed Parts Mark-up Rate			

Procurement # 041-20-0001

Firm Name	:		
Mailing Add	dress:		Addendum Acknowledgment Number Date Received
CITY	STATE	ZIP CODE	
Ву:			
(PRINT NA	ME)	TITLE	PHONE NO.
Signature			
Date:			

4.1 <u>Proof of Responsibility Statement</u>

The Duluth Transit Authority requires anyone submitting a bid or proposal to complete a sworn statement consisting of information relating to their capacity to complete the work requested, including financial stability, equipment, experience in the work prescribed, etc. If the Duluth Transit Authority is not satisfied with the sufficiency of the answers to the questionnaire and financial statement, it may reject the bid or disregard the same or require additional information. Attach separate sheets as necessary.

Statement of Bidder Qualifications and Responsibility

1.	Name of Bidder or Proposer:
2.	Address:
3.	Legal form of company (partnership, corporation, joint venture, etc.) (If a joint
ver	ture, identify the members of the joint venture and provide all information required in this section for each
me	mber.)
4.	When Organized:
5.	1
6.	How many years has the firm or organization been engaged in the contracting business under the present
firm	name?
О ш	estions 7-13: If the answer is \(\frac{1}{2}\) esq please provide details in a separate attachment.
	Have you ever failed to complete any work awarded to you? No Yes
	Have you ever defaulted on a contract? No Yes
9.	Have you ever been sued for services you provided? No Yes
10.	Has your firm been charged with or convicted of, a violation of a wage schedule?
	NoYes
11.	Does your organization possess all valid licenses, registrations and certifications required by federal, state, county or city law necessary for the work it seeks to perform? No Yes
12.	Has your organization had any type of business, contracting or trade license, certification or registration
	revoked or suspended in the last three years? No Yes
13.	Is your firm or organization a part of a multi-entity corporation, a wholly-owned subsidiary, or more than
	51% owned by another firm or organization? No If yes, provide documentation on the
	parent organization, audited statements of financial standing, working capital financing, authorization to
	enter into contracts, and other proof of responsibility.
14.	Does your firm have experience in similar type of projects or work, and have sufficient equipment,
	personnel, expertise, and financial reserves to perform the work successfully? No Yes (If no,
	please explain on a separate sheet. If yes, please provide the names and contact information of three (3)
	references.)
15	Has your firm or organization been a debtor in a bankruptcy proceeding in the last ten years?
15.	No Yes If yes, on a separate sheet of paper titled % ankruptcy Information + state date, court of
	jurisdiction, amount of liabilities and amount of assets.
	List the average range of annual gross receipts of the firm or organization for the past three years:
	Less than \$500,000 \$500,000 to \$1 million between \$1 million and \$5 million between \$5 million and \$10 million
	Less than \$500,000
	\$5500 \$ 1.5 115 \$ 1.5 11

17. Identify any conditions (e.g., pending litigation, planned office closures, impending merger, etc.) that may impede the proposed firm or organizations ability to complete the work.

Procurement # 041-20-0001

18. Please provide a Proof of Responsibility Statement for each subcontractor or supplier providing goods or services in excess of fifty thousand dollars (\$50,000.00) listed in the bid or proposal. Warranty:

The Contractor,

- (i) if it is a corporation, is duly incorporated, organized, validly existing and in good standing as a corporation under of the laws of the jurisdiction of its incorporation;
- (ii) if it is a partnership, non-profit organization, individual or sole proprietorship, is duly organized and validly existing under the laws of the jurisdiction in which it was organized;
- (iii) is duly qualified and in good standing under the laws of each jurisdiction where its existing ownership, lease, or operation of property in the conduct of its business requires, and
- (iv) has the power and legal right to conduct the business in which it is currently engaged and
- (v) attests that the execution, delivery and performance of the Contract does not and will not violate any provision of any applicable existing law, regulation or of any order, judgment, award or decree of any court or government applicable to the Contractor or the charter or by-laws of the Contractor or any mortgage, indenture, or other obligation.

Signed:				
Title				

Procurement # 041-20-0001

4.2 Small or Disadvantaged Business Enterprise, Veteran-owned Business (including Service Disabled Veteran Business Enterprises)

1.		onos, 8(a) Bu	firm or organiza usiness Develop		•					
			s, please provid	le a co	opy of the reg	gistration.)				
2.	Business Er	nterprise, or	firm or organiza a Veteran-owne uthorized to cer	ed bus	siness (includ	ling Servic	ce-Disable	ed Vetera		
	No Ye	es (If y	es, please provi	de deta	ails and cop	es of the a	applicable	registrat	tion or cer	tification.)
	ne Contractor nd perform wo	•	ake all necessa is Contract.	ry step	os to ensure	that DBEs	s have the	opportu	nity to cor	npete for
pe av ou	erformance of vard and adm ut these requi	f this contra ninistration of irements is	tractor shall not ct. Contractor s of U.S. DOT ass a material bread ne DTA deems a	shall ca sisted o th of th	arry out appl contracts. F ne contract, v	cable requality	uirements the Contr	of 49 C. actor or S	F.R. Part : Subcontra	26 in the ctor to carry
Si	gned this	_ day of	,	20	:					
Tit	tle									

4.3 Subcontractors and Suppliers Listing

Firm Name:

List each subcontractor and/or supplier included in the bid or proposal, and include a Proof of Responsibility Statement for each subcontractor (of any tier) or supplier proposing to provide services or goods in excess of fifty thousand dollars (\$50,000. 00.) Subcontractors or Suppliers that are registered or certified S/DBEs must provide proof and the name of the certifying agency **prior to commencing work**.

Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Subcontractor:	Type of work:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Supplier:	Type of supply:
S/DBE or Veteran owned?	
Changes to this list must be in writing and commencement of subcontractor or su	d approved by the Duluth Transit Authority prior to the upplier's work.
Signed:	

Procurement # 041-20-0001

Section 5.

REQUIRED CERTIFICATES

Certificate A. AFFIDAVIT OF NONCOLUSION

I hereby swear (or affirm) under penalty of perjury:

That I am the bidder (if the bidder is an individual), a partner of the bidder (if the bidder is a partnership), or an officer or employee of the bidding corporation, have authority to sign on its behalf (if the bidder is a corporation);

That the attached bid or bids have been arrived at by the bidder independently, and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with any other vendor of materials, supplies, equipment, or services described in the invitation to bid, designed to limit independent bidding or competition;

That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids, and will not be communicated to any such person prior to the official opening of the bid or bids; and

That I have fully informed myself regarding the accuracy of the statements made in this affidavit.

Additionally;	
The Company Name	hereby certifies it is /is not (circle one)
	Comptroller Generals consolidated list of persons or firms currently debarred for violations or porating labor standards provisions.
Signed	

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Certificate B. **DEBARRED BIDDERS**

Certification Regarding Debarment, Suspension, Proposed Debarment, and Other Responsibility Matters:

The Contractor shall comply and facilitate compliance with U.S. DOT regulations, Monprocurement Suspension and Debarment,+2 C.F.R. part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement),+2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- a) Debarred from participation in any federally assisted Award;
- b) Suspended from participation in any federally assisted Award;
- c) Proposed for debarment from participation in any federally assisted Award;
- d) Declared ineligible to participate in any federally assisted Award;
- e) Voluntarily excluded from participation in any federally assisted Award; or
- f) Disqualified from participation in ay federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the Duluth Transit Authority. If it is later determined by the Duluth Transit Authority that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the Duluth Transit Authority, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer.

The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

Print Name and Title	Signature	
Subscribed and sworn to before me this day of, 20_		
Notary Public	My Commission Expires	, 20

Procurement # 041-20-0001

Certificate C COMPLIANCE WITH SPECIFICATIONS

The bidder hereby states that it will comply with the technical specifications issued by the Duluth Transit Authority in	all
areas except those where approved equals were granted by the purchaser (s).	

SIGNED	 	
FIRM NAME		

Procurement # 041-20-0001

Certificate D. Code of Ethics and Organizational Conflict of Interest

The respondent hereby states that it has read and will comply the DTAcs Vendor Code of Ethics and Organi	zational
Conflict of Interest (both on the DTA website) as well as the applicable Federal Clauses and Requirements	contained
herein.	

SIGNED	 	
FIRM NAME		

Procurement # 041-20-0001

Certificate E

In accordance with Minnesota §181.59, % ISCRIMINATION ON ACCOUNT OF RACE, CREED, OR COLOR PROHIBITED IN CONTRACT+

The Contractor hereby agrees and shall cause this provision to be inserted in every subcontract the following:

- (1) that, in the hiring of common or skilled labor for the performance of any work under any contract, or any subcontract, no contractor, material supplier, or vendor, shall, by reason of race, creed, or color, discriminate against the person or persons who are citizens of the United States or resident aliens who are qualified and available to perform the work to which the employment relates;
- (2) that no contractor, material supplier, or vendor, shall, in any manner, discriminate against, or intimidate, or prevent the employment of any person or persons identified in clause (1) of this section, or on being hired, prevent, or conspire to prevent, the person or persons from the performance of work under any contract on account of race, creed, or color;
 - (3) that a violation of this section is a misdemeanor; and
- (4) that this contract may be canceled or terminated by the state, county, city, or the Duluth Transit Authority, or any other person authorized to grant the contracts for employment, and all money due, or to become due under the contract, may be forfeited for a second or any subsequent violation of the terms or conditions of this contract.

 Signature of Contractor Authorized Official
Name and Title of Contractor Authorized Official
Date

Procurement # 041-20-0001

Certificate F RESPONSIBLE CONTRACTOR MINNESOTA STATUTE, SECTION 16C.285 Applicable to prime contracts and subcontracts over \$50,000.

Respondents to this solicitation document shall submit a signed statement under oath by an owner or officer verifying compliance with each of the minimum criteria in Minnesota Statutes, section 16C.285. The term *esponsible contractor+ means as contractor as defined in Minnesota Statutes section 16C.285, subdivision 3.

Any prime contractor or subcontractor that does not meet the minimum criteria in Minnesota Statutes, section 16C.285 subdivision 3, or fails to verify that it meets those criteria is not a responsible contractor and is not eligible to be awarded the construction contract for the project or to perform work on the project.

The bidder hereby states that is in compliance with each of the minimum criteria in Minnesota Statutes, section 16C.285. A false statement under oath verifying compliance with any of the minimum criteria shall render the prime contractor or subcontractor that makes the false statement ineligible to be awarded a construction contract on the project and may result in termination of a contract awarded to a prime contractor or subcontractor that submits a false statement.

A prime contractor shall submit to the Owner upon request copies of the signed verifications of compliance from all subcontractors of any tier pursuant to Minnesota Statues, section 16C.285, subdivision 3, clause 7.

The contractor hereby agrees and shall cause this provision to be inserted in every subcontract as required pursuant to Minnesota Statutes, section 16C.285.

SIGNED	 	
TITLE		
FIRM NAME		

TECHNICAL SPECIFICATIONS

FOR

On Call Door Repair Services

May 26, 2020

Section 6 TECHNICAL SPECIFICATIONS FOR On Call Door Repair Services

A. General.

1. The DTA is seeking a qualified vendor to perform on-call maintenance and repairs services for exterior doors at DTA properties, including overhead bus entrance doors, roll up metal doors, drop down fire doors, and to service and repair pedestrian entry doors for ADA compliance of door openers, crash bars, and opening force.

Costs for the pedestrian doors are separated by those doors that may require a manlift to perform repairs and doors that are able to be repaired without requiring a lift.

- 2. A prebid meeting will be held at **1:00 p.m.** on Tuesday, **June 2, 2020** at the DTA offices, 2402 West Michigan Street, Duluth, MN 55806. Attendance is not mandatory, but strongly encouraged. A tour of the facilities will follow the meeting. Due to the COVID-19 emergency, attendees will be required to wear a facemask while on DTA properties, as well as reflective vests while touring the facilities. Interested parties may attended via conference call, please contact nbrown@duluthtransit.com for call-in instructions.
- 3. **Indefinite Delivery, Indefinite Quantity.** This is an Indefinite Delivery Indefinite Quantity (%DIQ+) Contract. The quantities of products or services in the Contract are not guaranteed and are subject to the discretion of the DTA Project Manager or authorized designee of the DTA.
- 4. Delivery of the Work under this Contract shall be performed in accordance with the applicable terms and conditions in this Request for Bids, including any and all attachments incorporated by reference herein and modifications hereto.
- 5. Examples of work that may be requested include:
 - a. repairing/replacing door hinges, push bars
 - b. servicing overhead doors that are not operational
 - b. repairing/replacing electric motors that fail
 - c. troubleshooting various equipment for repairs, including door openers
 - d. supply and replace worn parts as needed

Not included the Contract scope includes:

- a. rebuilding or replacement of structural components such as headers and frames
- b. new construction or major modifications of existing equipment
- c. repair of damage caused by fire, flood or other disaster
- 6. For each request for work, the DTA will issue a Task Order and request a quote for estimated cost. Contractor shall respond within 24-hours, unless it is an emergency or approved by the DTA Project Manager. Task Order work shall be provided in accordance with the applicable terms and conditions of this Contract. The DTA shall not be required to issue a Task Order for routine Work that is the subject of this Contract. There will be no charge for written quotes, whether or not the work is performed.
- 7. Quotes for all services shall be in writing, and shall accurately reflect the number of hours and cost per hour for labor, parts and other costs required for the Task Order. The DTA will not pay for travel time to and from the project site. The quote will become a ‰ot to exceed+type with the

option of the DTA to reduce the final amount due to actual hours worked. The DTA will not pay for additional work performed without DTA Project Manager approval.

- 8. All work that consists of fixing any item or equipment that fails but does not constitute an immediate danger to the DTA or the public shall be performed during business hours unless otherwise requested by the DTA Project Manager. Contractor shall not perform any work without the advance authorization of the DTA Project Manager.
- 9. Each Contractor invoice shall indicate the total hours of labor for each labor category utilized. All materials, supplies, and parts purchased by the contractor to complete the Task Order shall be itemized and the original suppliers invoice for each item must be attached to the Contractors invoice. The Contractor may only submit the percentage mark up or discount on materials/supplies/parts that was approved at Contract award. Payment shall not be made without such documentation.
- 10. Upon award of the Contract, the Contractor will provide the DTA Project Manager with 24-hour contact number(s) for Contractor Project Manager, and an acceptable means of emergency on-call communication. Email contacts are acceptable if they are responded to promptly.
- 11. The DTA specifically reserves the right to award work under the scope of this Contract to other parties, at its sole discretion.
- 12. To prevent disruptions to the DTA operations, the Contractor shall coordinate with the DTA Project Manager all planned equipment shutdowns and all work that is needed to be performed prior to the shutdown. The Contractor shall endeavor to minimize disruptions to the greatest extent possible.
- 13. The Contractor shall notify the DTA Project Manager when the Contractors employees are on DTA property to perform work under this Contract, and shall notify the DTA Project Manager of work completion within 24-hours of completion.
- 14. Contractor shall at all times keep the work site clean and free from waste materials, trash or rubbish, including the storage areas. All hazardous materials that are replaced shall be properly disposed of offsite by the Contractor in accordance with all environmental requirements. Contractor may not use DTA disposal containers to dispose of hazardous materials.
- 15. Contractor shall furnish, install and maintain all warning devices, i.e. barricades, cones, etc., to adequately protect the public and Contractors personnel during the performance of the work under this Contract.
- 16. Contractor may not store tools and materials on DTA property without the DTA Project Managers prior written consent.
- **B. Term**. Term of this Contract shall be for a period of three (3) years beginning June 1, 2020, or Notice to Proceed, whichever is later, through December 31, 2023, with two one-year options from the period of January 1, 2024 through December 31, 2024 and from January 1, 2025 through December 31, 2025.

C. Preventative Maintenance

- 1. Preventative maintenance may be performed on equipment as required by the State of Minnesota and/or the DTA Project Manager.
- 2. Preventative maintenance is defined as something that is scheduled in advance and usually recommended by the manufacturer of the equipment of by regulatory authorities. Preventative maintenance can include, but is not limited to, visual inspections, calibrating sensors, greasing and lubrication, minor adjustments as needed, etc.

D. Repair Services

- 1. Repairs shall be defined as restoration of doors to satisfactory operating condition when operated electrically or manually. All types of repairs shall be made to ensure that the doors are restored to a safe operating condition. All temporary repairs shall be considered incomplete until manufacturer specified parts are installed. At no time shall temporary repairs be made that may cause further damage to the door or related systems, or in any way jeopardize the safety of personnel operating the door.
- 2. The Contractor must respond within 24-hours from the time the DTA authorized representative calls for a non-routine repair service. A non-routine repair is work that consists of fixing any item/equipment that fails but does not constitute an immediate danger to personnel or property in excess of \$3,000. The DTA Project Manager may, at his discretion, seek quotes from other contractors for any non-routine small repairs.

E. Emergency Repairs

- 1. Emergency calls are those service calls when the work consists of correcting failures that constitute an immediate danger to personnel or property. Additionally, any work considered by the DTA to be of an emergency nature may also be classified as an emergency call, such as an inoperable door that leaves the building unsecure. This service may be conducted any time of the day or night, which may include both overtime and normal hours.
- 2. Upon request for emergency service, the Contractor shall submit price quotes within two (2) hours after notification in accordance with hourly bid rates.

F. SCOPE OF WORK

- 1. Overhead Doors
- a. The DTA has four large doors on the exterior of the building to permit access and egress for buses. Two of the doors were rehabilitated in 2018, two were rehabilitated in 2008. Doors consist of a curtain, drive motors and sensors. On-call maintenance work may include troubleshooting, repairing the drive components, repairs to the curtain, and performing ongoing preventative maintenance that may be required.
- b. Contractor shall be responsible to supply a man-lift for overhead door repairs. The Contractor will not be permitted to use the DTA man-lift. Cost for the lift shall be included in the Contractor hourly rate.

2. Testing Services.

a. The DTA Operations Center has six (6) overhead steel coil fire doors. It shall be the Contractors responsibility to ensure that all repairs and testing area in accordance with NFPA 80 and all other state and local regulations. Drop testing shall be conducted at least annually. The DTA requires a visual inspection, operational check, drop test and reset of the door. A NFPA 80 approved drop test form must be used when performing annual testing, and each door tested must be witnessed by a DTA representative. A copy of the signed test form must be provided to the DTA Director of Maintenance at the time of completion of testing.

3. Pedestrian Doors:

- a. The Contractor shall perform an annual test on all DTA pedestrian doors for compliance with applicable requirements for ADA access, including testing door openers, crash bars, and door opening force. In addition, there are three metal rolling doors at the Duluth Transportation Center to be serviced.
- b. Location for these services would be at all DTA locations:

Duluth Transportation Center, 228 West Michigan Street, Duluth, MN 55802 Transit Center East, 214 West Superior Street, Duluth, MN 55802 DTA Operations Center, 2402 West Michigan Street, Duluth, MN 55806 3rd Avenue E. Transit Stop (between Superior Street and 1st Street)

- c. At least once each year, Contractor will test all applicable pedestrian doors for proper operation, change batteries in the door openers (as applicable), make minor adjustments to the doors to minimize drag on the door sills or sidewalk, test and adjust crash bars, and replace parts upon request from the DTA Project Manager. Contractor will provide a report of the results of each door checked.
- d. Contractor will test the door opening alarms on those doors that are armed.
- e. Doors include:
 - 1. Duluth Transportation Center:
 - Eight (8) sets of double doors (including doors in the skywalk)

Twelve (12) single man doors (including doors that lead from the parking ramp)

Two (2) secure entry doors to the Duluth Police Substation (front and rear)

Six (6) secure metal doors (booth, meeting room, rear hall access, two (2) Jefferson Lines doors)

- Two (2) sets of metal double doors to the garbage room.
- One (1) set of metal double doors in the lower level storage room
- Two (2) Metal doors for Bike Storage
- 2. Transit Center East:
 - Four (4) sets of double doors on the main entrance from Superior Street
 - One (1) set of double doors on the skywalk to DTC
 - Two (2) sets of metal double doors on the 2nd level skywalk
 - Two (2) single doors for the tenant space on the 2nd floor
 - One (1) set of double doors on the secure level of Michigan Street
 - One (1) single door for the tenant space on Superior Street
 - One (1) single door for the tenant space on Michigan Street
 - One (1) armed single door in the rear stairwell
 - Nine (9) single metal doors in the rear stairwell including two (2) in the subbasement

3. Duluth Operation Center

Two (2) ADA doors at the main entrance of the Duluth Operations Center Ten (10) man doors, five (5) of which are armed

4. 3rd Avenue East Transit Stop
Two (2) double doors with ADA assists

5. Duluth Transportation Center (3) Metal Rolling Doors
One (1) rolling overhead door on the bus platform
Two (2) rolling overhead doors to the accurate parking or

Two (2) rolling overhead doors to the secure parking area

a. Doors will be serviced at least semi-annually
 Adjust runners, door slats
 Clean and adjust electronic eye on secure parking
 Test emergency stop (where applicable)

F. Replacement Parts

- 1. All replacement parts and materials shall be new, commercial grade equipment, free of defects and suitable for the purpose intended. Materials being replaced shall be the same make and model or approved equal to the Original Equipment Manufacturer (OEM). Equals must be submitted to the DTA Project Manager for approval prior to installation of the equipment.
- 2. If parts are not readily available, the Contractor shall make every effort to perform emergency repairs that will allow safe operation of the equipment within the shortest practical time. All repairs will be considered temporary and incomplete until standard parts are installed. Replacement parts shall meet the OEM equipment manufacturers specifications in all respects.
- 3. The Contractor shall guarantee all replacement parts for a period of ninety (90) days or the part manufacturers warranty, which ever is greater. All guarantees and warranties obtainable by the Contractor from the manufacturer or supplier of the equipment in the performance of this Contract shall be extended to the DTA to the full extent of their terms.
- 4. All parts that have been replaced shall be the property of the DTA, and shall be left onsite unless directed otherwise by the DTA Project Manager. The DTA Project Manager may decide to furnish parts and materials directly if it is deemed in the DTA¢ best interests.

G. Workmanship

- 1. The Contractor shall use the highest standard of workmanship for the work performed under this Contract. In the event of substandard workmanship or defective materials furnished by the Contractor as may be determined by the DTA Project Manager or designee, or in the event of poor working performance as may be determined by the DTA Project Manager or designee, the DTA will notify the Contractor and may require such failures or defects to be remedied at no cost to the DTA.
- 2. Substandard workmanship may include, but is not limited to, incomplete work, inadequately installed or supported, poorly fitted or sealed, damaged, improperly finished, or installed in a manner that the operation or maintainability of the installation is impaired beyond the limits specified under state and federal regulations or as indicated in this Contract.

- 3. Claims of defective materials, inadequate workmanship or poor working performance will be first made to the Contractors job site foreman. If the claim cannot be resolved at the field level, a written claim will be made to the Contractor. Contractor shall resolve all claims against workmanship by either correcting the issue or issuing a credit to the DTA for the claim. The DTA reserves the right to withhold payments that may otherwise be due, in whole or in part, on account of defective materials and/or unresolved workmanship issues.
- 4. The performance of services by the Contractor shall not relive the Contractor from any obligation to correct any incomplete, inaccurate, or defective work at no further cost to the DTA, when such inaccuracies are due to the acts or omissions, negligent or otherwise, of the Contractor.

H. Contractor's Qualifications

- 1. The Contractor shall have an established record of satisfactorily performing similar services for a minimum period of three (3) years, and shall possess the capability, including qualified technicians, technical expertise and support infrastructure to provide all services called for in these specifications.
- 2. All work shall be performed by personnel directly employed and supervised by the Contractor. No subcontractors will be permitted unless specifically approved by the DTA Project Manager.

I. Davis Bacon Compliance

The Contractor shall comply with all applicable federal, state and local prevailing wage requirements, as applicable, and shall comply with Section 7, %Wage Requirements+, herein. For each task ordered that is estimated at or above the then applicable threshold of the U.S. Department of Labor Fair Labor Standards Act, or successor legislation governing prevailing wages, the DTA will provide a copy of the applicable wage schedule. The current threshold is \$2,000. Contractor shall ensure that the then current prevailing wages are paid on each task order, and shall submit the required wage reports as defined in Section 7. A copy of the current federal and state wage reports are attached for reference.

J. Bid Submittals

- 1. Bid Sheet with pricing for annual service, labor rates and parts mark up.
- 2. Bid sheets
- Required Certificates A-G
- 4. A statement of qualifications of the firm and staff performing the work.

Procurement # 041-20-0001

SECTION 7.

WAGE REQUIREMENTS

- This contract is subject to the requirements of 49 U.S.C. §5333(a), the Davis-Bacon Act, the U.S.
 Department of Labor Fair Labor Standards Act, and the implementing regulations of the Department of
 Labor at 29 CFR Part 5. The Contractor shall comply with and assure compliance with all applicable
 wage regulations, and shall not cause the Owners to be in violation of same.
- 2. The Contractor hereby agrees that all persons employed by it in the performance of work covered by prevailing wage classifications shall be paid wages which are not less than the prevailing wage rates which are attached to this contract and incorporated herein by reference.
- 3. The Contractor hereby agrees to keep and to require all subcontractors to keep full and accurate records for a period of not less than six (6) years, clearly indicating the name and trade or occupation of every laborer, worker or mechanic employed by him in connection with the project and an accurate record of the number of hours worked by each employee and the actual wages paid therefore.
- 4. The Owner shall post any applicable prevailing wage determinations at the project site and/or at a place normally used to post public notices. The Contractor is responsible for providing the prevailing wage scale as provided in the contract at the job site to all subcontractors. These hours, rates and classifications must be posted on the project by the Contractor in at least one conspicuous place for the information of employees and all employees of subcontractor(s) working on the project.
- 5. Certified WEEKLY payroll reports are required and are to be submitted to the Duluth Transit Authority Procurement Manager for all Contractor and subcontractor covered work in accordance with Duluth Transit Authority Certified Payroll Checklist.

6. Overtime Basis

One and one-half the regular hourly rate is paid for hours exceeding forty (40) per week.

POSTED WAGE SCALE

Department of Labor General Decision Number MN 20200129 Prevailing Wage Decision dated May 15, 2020 is attached and incorporated herein. Wage decisions are subject to change due to lock-in rules and revisions near the bid opening.

Minnesota Department of Labor and Industry Prevailing Wages for State-funded Construction Projects, Construction Type, Commercial, County Number 69, St. Louis County, effective 1-27-2020 is attached and incorporated herein.

Please note: A new Prevailing Wage Decision will be issued for each Task Order issued by the DTA that is anticipated to be over the required threshold for Federal or State prevailing wage requirements. Contractor shall comply with the then current Prevailing Wage Decision.

"General Decision Number: MN20200129 05/15/2020

Superseded General Decision Number: MN20190129

State: Minnesota

Construction Type: Building

County: St Louis County in Minnesota.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.80 for calendar year 2020 applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.80 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2020. If this contract is covered by the EO and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must pay workers in that classification at least the wage rate determined through the conformance process set forth in 29 CFR 5.5(a)(1)(ii) (or the EO minimum wage rate, if it is higher than the conformed wage rate). The EO minimum wage rate will be adjusted annually. Please note that this EO applies to the above-mentioned types of contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but it does not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60). Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification Number	Publication	n Date	
0	01/03/2020		
1	05/15/2020		
ī	03/13/2020)	
ASBE0034-001 06/01/2019	9 F	Rates	Fringes
ASBESTOS WORKER/HEAT & I	FROST		
INSULATOR	\$	38.15	33.40
BOIL0647-008 03/01/2018			
BOILERMAKER			
BRMN0001-010 05/01/2018			 Fringes
BRICKLAYER			-
BRMN0001-019 05/01/2018	8	Rates	Fringes
TILE FINISHER	\$	25.13	5.54
TILE SETTER	\$	25.89	24.34
CARP0361-008 06/01/2018	8	Rates	Fringes
CARPENTER (Includes Dry	wall		
Hanging, and Form Work,	and		
Excludes Soft Floor Laye	er)\$	33.60	19.03
SOFT FLOOR LAYER	\$	33.25	16.94
ELEC0242-002 06/02/2019	9	Rates	Fringes
ELECTRICIAN			_
ENGI0049-019 05/01/2019		Rates	Fringes
POWER EQUIPMENT OPERATOR			
Bulldozer			20.55
Crane			20.55
Drill			20.55
Forklift	\$	40.08	20.55
Loader	\$	40.08	20.55
Oiler	\$	37.45	20.55

Roller\$		20.55
* IRON0512-025 05/03/2020 R IRONWORKER (Structural and		
Reinforcing)\$		
LABO1091-025 05/01/2018 R		
LABORER		
Asbestos Abatement		
(Removal from Ceilings,		
Floors, and Walls)\$		18.70
Common or General\$	28.04	16.17
Mason Tender -	27 00	15 22
Brick/Cement/Concrete\$		15.32
Pipelayer\$		16.92
PAIN0106-007 05/01/2017	Rates	Fringes
GLAZIER\$		
PAIN0106-009 05/01/2017		
DRYWALL FINISHER/TAPER\$	30.33	17.27
PAIN0386-010 05/01/2014	 Rates	Fringes
PAINTER (Spray)\$	25.08	13.99
PLAS0633-008 05/01/2019	 Rates	Fringes
CEMENT MASON/CONCRETE FINISHER\$		
PLAS0633-011 05/01/2019	 Rates	
PLASTERER\$		_
PLUM0011-007 07/03/2018	Rates	Fringes
PIPEFITTER (Includes HVAC		
Pipe Installation and		
Excludes HVAC Unit		
<pre>Installation)\$ PLUMBER (Excludes HVAC Pipe</pre>	34.59	17.34
THOUSTH (HYCTHRED HAVE LINE		

and Unit Installation)	\$ 34.59	17.34
ROOF0096-019 07/01/2019 ROOFER		-
SHEE0010-034 04/30/2018 SHEET METAL WORKER (Includes HVAC Duct and Unit	Rates	Fringes
Installation)	\$ 33.75	27.21
* UAVG-MN-0024 01/01/2019 OPERATOR:	Rates	Fringes
Backhoe/Excavator/Trackhoe	\$ 36.89	20.30
* UAVG-MN-0025 01/01/2019 PAINTER (Brush and Roller)		Fringes 17.64
SUMN2015-064 06/22/2018 OPERATOR: Bobcat/Skid	Rates	Fringes
Steer/Skid Loader	\$ 32.03	14.80
TRUCK DRIVER: Dump Truck	\$ 23.43	12.33

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their

own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing

the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage

determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION"

MINNESOTA DEPARTMENT OF LABOR AND INDUSTRY PREVAILING WAGES FOR STATE FUNDED **CONSTRUCTION PROJECTS**



$\overline{f V}$ THIS NOTICE MUST BE POSTED ON THE JOBSITE IN A CONSPICUOUS PLACE

Construction Type: Commercial

County Number: 69

County Name: ST. LOUIS

Effective: 2019-12-16 Revised: 2020-01-27

This project is covered by Minnesota prevailing wage statutes. Wage rates listed below are the minimum hourly rates to be paid on this project.

All hours worked in excess of eight (8) hours per day or forty (40) hours per week shall be paid at a rate of one and one half (1 1/2) times the basic hourly rate.

Violations should be reported to:

Department of Labor and Industry Prevailing Wage Section 443 Lafayette Road N St Paul, MN 55155 (651) 284-5091 DLI.PrevWage@state.mn.us

County: ST. LOUIS (69)

LABOR CODE AND CLASS	EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
LABORERS (101 - 112) (SPECIAL CRAFTS 701 - 730)				
101 LABORER, COMMON (GENERAL LABOR WORK)	2019-12-16	26.52	19.29	45.81
102 LABORER, SKILLED (ASSISTING SKILLED CRAFT JOURNEYMAN)	2019-12-16	26.52	19.29	45.81
103 LABORER, LANDSCAPING (GARDENER, SOD LAYER AND NURSERY OPERATOR)	2019-12-16	24.00	16.96	40.96
104* FLAG PERSON	2019-12-16	26.52	19.29	45.81
105* WATCH PERSON	FOR RATE CALI DLI.PREVWAGE			
106 BLASTER	2019-12-16	27.22	19.29	46.51
107 PIPELAYER (WATER, SEWER AND GAS)	2019-12-16	34.28	20.27	54.55

^{*} Indicates that adjacent county rates were used for the labor class listed.

Procurement # 041-20-0001

LABOR CODE AND CLASS	<u>EFFECT</u> <u>DATE</u>	BASIC RATE	FRINGE RATE	TOTAL RATE
108 TUNNEL MINER	FOR RATE CALI <u>DLI.PREVWAGE</u>			
109 UNDERGROUND AND OPEN DITCH LABORER (EIGHT FEET BELOW STARTING GRADE LEVEL)	2019-12-16	32.48	20.27	52.75
110 SURVEY FIELD TECHNICIAN (OPERATE TOTAL STATION, GPS RECEIVER, LEVEL, ROD OR RANGE POLES, STEEL TAPE MEASUREMENT; MARK AND DRIVE STAKES; HAND OR POWER DIGGING FOR AND IDENTIFICATION OF MARKERS OR MONUMENTS; PERFORM AND CHECK CALCULATIONS; REVIEW AND UNDERSTAND CONSTRUCTION PLANS AND LAND SURVEY MATERIALS). THIS CLASSIFICATION DOES NOT APPLY TO THE WORK PERFORMED ON A PREVAILING WAGE PROJECT BY A LAND SURVEYOR WHO IS LICENSED PURSUANT TO MINNESOT STATUTES, SECTIONS 326.02 TO 326.15.	,	26.52	19.29	45.81
111 TRAFFIC CONTROL PERSON (TEMPORARY SIGNAGE)	FOR RATE CALI DLI.PREVWAGE			
SPECIAL EQUIPMENT (201 - 204)				
201* ARTICULATED HAULER	2019-12-16	38.13	20.30	58.43
202 BOOM TRUCK	2019-12-16	40.08	20.55	60.63
	2020-05-01	40.93	21.70	62.63
203* LANDSCAPING EQUIPMENT, INCLUDES HYDRO SEEDER OR MULCHER, SOD ROLLER, FARM TRACTOR WITH ATTACHMENT SPECIFICALLY SEEDING, SODDING, OR PLANT, AND TWO-FRAMED FORKLIFT (EXCLUDING FRONT, POSIT-TRACK, AND SKID STEER LOADERS), NO EARTHWORK OR GRADING FOR ELEVATIONS	2019-12-16	24.00	16.96	40.96
204 OFF-ROAD TRUCK	2019-12-16	32.85	19.00	51.85
	2020-05-01	33.65	19.95	53.60
205 PAVEMENT MARKING OR MARKING REMOVAL EQUIPMENT (ON OR TWO PERSON OPERATORS); SELF-PROPELLED TRUCK OR TRAILER MOUNTED UNITS.	E 2019-12-16	26.91	19.87	46.78
HIGHWAY/HEAVY POWER EQUIPMENT OPERATOR				
GROUP 2 *	2019-12-16	35.54	19.70	55.24
306 GRADER OR MOTOR PATROL				
308 TUGBOAT 100 H.P. AND OVER WHEN LICENSE REQUIRED (HIGH	HWAY AND HEAVY ONLY)			
GROUP 3	2019-12-16	38.09	20.50	58.59
	2020-05-01	38.94	21.40	60.34
309 ASPHALT BITUMINOUS STABILIZER PLANT				
310 CABLEWAY	\	()		
312 DERRICK (GUY OR STIFFLEG) (POWER) (SKIDS OR STATIONERY) 314 DREDGE OR ENGINEERS, DREDGE (POWER) AND ENGINEER) (NIGRWAT AND HEAVY ONLY	,		

316 LOCOMOTIVE CRANE OPERATOR

Procurement # 041-20-0001

	On Call Door Repair	Services	Procur	ement # 041-	20-0001
	LABOR CODE AND CLASS	EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
320	TANDEM SCRAPER				
322	TUGBOAT 100 H.P AND OVER (HIGHWAY AND HEAVY ONLY)				
GRO	UP 4	2019-12-16	37.79	20.50	58.29
		2020-05-01	38.64	21.40	60.04
323	AIR TRACK ROCK DRILL				
324	AUTOMATIC ROAD MACHINE (CMI OR SIMILAR) (HIGHWAY AND HEAV	/Y ONLY)			
	BACKFILLER OPERATOR				
	BITUMINOUS ROLLERS, RUBBER TIRED OR STEEL DRUMMED (EIGHT	,			
328	BITUMINOUS SPREADER AND FINISHING MACHINES (POWER), INCLUSURFACING, OR SIMILAR TYPES (OPERATOR AND SCREED PERSON		SURFACING A	ND MICRO	
329	BROKK OR R.T.C. REMOTE CONTROL OR SIMILAR TYPE WITH ALL AT	TTACHMENTS			
330	CAT CHALLENGER TRACTORS OR SIMILAR TYPES PULLING ROCK W	AGONS, BULLDOZERS A	ND SCRAPER	S	
331	CHIP HARVESTER AND TREE CUTTER				
332	CONCRETE DISTRIBUTOR AND SPREADER FINISHING MACHINE, LON	NGITUDINAL FLOAT, JOIN	NT MACHINE, A	AND SPRAY M	ACHINE
334	CONCRETE MOBIL (HIGHWAY AND HEAVY ONLY)				
335	CRUSHING PLANT (GRAVEL AND STONE) OR GRAVEL WASHING, CR	USHING AND SCREENING	G PLANT		
336	CURB MACHINE				
337	DIRECTIONAL BORING MACHINE				
338	DOPE MACHINE (PIPELINE)				
340	DUAL TRACTOR				
341	ELEVATING GRADER				
345	GPS REMOTE OPERATING OF EQUIPMENT				
347	HYDRAULIC TREE PLANTER				
348	LAUNCHER PERSON (TANKER PERSON OR PILOT LICENSE)				
349	LOCOMOTIVE (HIGHWAY AND HEAVY ONLY)				
350	MILLING, GRINDING, PLANNING, FINE GRADE, OR TRIMMER MACHINI	E			
352	PAVEMENT BREAKER OR TAMPING MACHINE (POWER DRIVEN) MIGH	HTY MITE OR SIMILAR TY	/PE		
354	PIPELINE WRAPPING, CLEANING OR BENDING MACHINE				
356	POWER ACTUATED HORIZONTAL BORING MACHINE, OVER SIX INCH	ES			
	PUGMILL				
359	RUBBER-TIRED FARM TRACTOR WITH BACKHOE INCLUDING ATTACK	HMENTS (HIGHWAY AND	HEAVY ONLY	()	
360	SCRAPER				
361	SELF-PROPELLED SOIL STABILIZER				
	SLIP FORM (POWER DRIVEN) (PAVING)				
	TIE TAMPER AND BALLAST MACHINE				
	TRACTOR, WHEEL TYPE, OVER 50 H.P. WITH PTO UNRELATED TO LA	ANDSCAPING (HIGHWAY	AND HEAVY C	NLY)	
367	TUB GRINDER, MORBARK, OR SIMILAR TYPE				

GROUP 5* 2019-12-16 30.50 18.90 49.40

- 370 BITUMINOUS ROLLER (UNDER EIGHT TONS)
- 371 CONCRETE SAW (MULTIPLE BLADE) (POWER OPERATED)
- 372 FORM TRENCH DIGGER (POWER)
- 375 HYDRAULIC LOG SPLITTER
- 376 LOADER (BARBER GREENE OR SIMILAR TYPE)
- 377 POST HOLE DRIVING MACHINE/POST HOLE AUGER
- 379 POWER ACTUATED JACK
- 381 SELF-PROPELLED CHIP SPREADER (FLAHERTY OR SIMILAR)
- 382 SHEEP FOOT COMPACTOR WITH BLADE . 200 H.P. AND OVER
- 383 SHOULDERING MACHINE (POWER) APSCO OR SIMILAR TYPE INCLUDING SELF-PROPELLED SAND AND CHIP SPREADER
- 384 STUMP CHIPPER AND TREE CHIPPER

LABOR CODE AND CLASS	EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
385 TREE FARMER (MACHINE)				
GROUP 6 *	2019-12-16	33.54	20.50	54.04
	2020-05-01	34.39	21.40	55.79
387 CAT, CHALLENGER, OR SIMILAR TYPE OF TRACTORS, WHEN PULLING	G DISK OR ROLLER			
389 DREDGE DECK HAND				
391 GRAVEL SCREENING PLANT (PORTABLE NOT CRUSHING OR WASHIN	G)			
393 LEVER PERSON				
395 POWER SWEEPER				
396 SHEEP FOOT ROLLER AND ROLLERS ON GRAVEL COMPACTION, INCI 397 TRACTOR, WHEEL TYPE, OVER 50 H.P., UNRELATED TO LANDSCAPIN		LERS		
COMMERCIAL POWER EQUIPMENT OPERATOR				
GROUP 1	2019-12-16	43.59	20.55	64.14
	2020-05-01	44.44	21.70	66.14
501 HELICOPTER PILOT (COMMERCIAL CONSTRUCTION ONLY)				
502 TOWER CRANE 250 FEET AND OVER (COMMERCIAL CONSTRUCTION	ONLY)			
503 TRUCK CRAWLER CRANE WITH 200 FEET OF BOOM AND OVER, INCLU	JDING JIB (COMMERCIA	L CONSTRUC	TION ONLY)	
GROUP 2 *	2019-12-16	43.25	20.55	63.80
	2020-05-01	44.10	21.70	65.80
504 CONCRETE PUMP WITH 50 METERS/164 FEET OF BOOM AND OVER (C		JCTION ONLY)		
505 PILE DRIVING WHEN THREE DRUMS IN USE (COMMERCIAL CONSTRU	,			
506 TOWER CRANE 200 FEET AND OVER (COMMERCIAL CONSTRUCTION 507 TRUCK OR CRAWLER CRANE WITH 150 FEET OF BOOM UP TO AND N	,		IID (COMMED	CIAI
CONSTRUCTION ONLY)	OT INCLUDING 2001 EL	i, inocobino	SID (COMMEN	OIAL
GROUP 3	2019-12-16	41.84	20.55	62.39
	2020-05-01	42.69	21.70	64.39
508 ALL-TERRAIN VEHICLE CRANES (COMMERCIAL CONSTRUCTION ONL	Y)			
509 CONCRETE PUMP 32-49 METERS/102-164 FEET (COMMERCIAL CONST	TRUCTION ONLY)			
510 DERRICK (GUY & STIFFLEG) (COMMERCIAL CONSTRUCTION ONLY)				
511 STATIONARY TOWER CRANE UP TO 200 FEET				
512 SELF-ERECTING TOWER CRANE 100 FEET AND OVER MEASURED FR	OM BOOM FOOT PIN (C	OMMERCIAL C	ONSTRUCTIO	N ONLY)
513 TRAVELING TOWER CRANE (COMMERCIAL CONSTRUCTION ONLY)	NE DOOM INCLUDING II	D (COMMEDO)	AL CONCEDU	CTION
514 TRUCK OR CRAWLER CRANE UP TO AND NOT INCLUDING 150 FEET CONLY)	OF BOOM, INCLUDING JI	B (COMMERC)	AL CONSTRU	JION
GROUP 4	2019-12-16	41.50	20.55	62.05
	2020-05-01	42.35	21.70	64.05
515 CRAWLER BACKHOE INCLUDING ATTACHMENTS (COMMERCIAL CON	STRUCTION ONLY)			
516 FIREPERSON, CHIEF BOILER LICENSE (COMMERCIAL CONSTRUCTION	N ONLY)			
517 HOIST ENGINEER (THREE DRUMS OR MORE) (COMMERCIAL CONSTR	UCTION ONLY)			
518 LOCOMOTIVE (COMMERCIAL CONSTRUCTION ONLY)				
519 OVERHEAD CRANE (INSIDE BUILDING PERIMETER) (COMMERCIAL CO	ONSTRUCTION ONLY)			
520 TRACTOR . BOOM TYPE (COMMERCIAL CONSTRUCTION ONLY)				
GROUP 5	2019-12-16	40.08	20.55	60.63
GROUP J	2019-12-16	40.08	20.55	62.63
	2020-05-01	40.93	∠1./∪	0∠.03

	LABOR CODE AND CLASS	<u>EFFECT</u> <u>DATE</u>	BASIC RATE	FRINGE RATE	TOTAL RATE
521 AIR C	OMPRESSOR 450 CFM OR OVER (TWO OR MORE MACHINES) (COMMERCIAL CONSTRUC	CTION ONLY)		
522 CONC	RETE MIXER (COMMERCIAL CONSTRUCTION ONLY)				
523 CONC	RETE PUMP UP TO 31 METERS/101 FEET OF BOOM				
	RIGS, HEAVY ROTARY OR CHURN OR CABLE DRILL WHEN USI TRUCTION (COMMERCIAL CONSTRUCTION ONLY)	ED FOR CAISSON FOR EL	_EVATOR OR E	BUILDING	
525 FORK	LIFT (COMMERCIAL CONSTRUCTION ONLY)				
	T END, SKID STEER 1 C YD AND OVER				
	TENGINEER (ONE OR TWO DRUMS) (COMMERCIAL CONSTRUC	,			
	IANIC-WELDER (ON POWER EQUIPMENT) (COMMERCIAL CONS	,			
	ER PLANT (100 KW AND OVER OR MULTIPLES EQUAL TO 100KW	, ,		,	
	OPERATOR AND/OR CONVEYOR (TWO OR MORE MACHINES)	`	,		
	ERECTING TOWER CRANE UNDER 100 FEET MEASURED FROM	I BOOM FOOT PIN (COM	MERCIAL CON	STRUCTION O	NLY)
	DDLE CARRIER (COMMERCIAL CONSTRUCTION ONLY)				
	TOR OVER D2 (COMMERCIAL CONSTRUCTION ONLY)				
534 WELL	POINT PUMP (COMMERCIAL CONSTRUCTION ONLY)				
GROUP 6		2019-12-16	38.57	20.55	59.12
OROOI U			39.42	21.70	
525 CONC	PRETE DATCH DI ANT (COMMEDCIAL CONCEDICTION ONLY)	2020-05-01	39.42	21.70	61.12
	RETE BATCH PLANT (COMMERCIAL CONSTRUCTION ONLY)	DUCTION ONLY)			
	PERSON, FIRST CLASS BOILER LICENSE (COMMERCIAL CONST	RUCTION ONLY)			
	T END, SKID STEER UP TO 1 C YD				
	FE MACHINE (COMMERCIAL CONSTRUCTION ONLY) TOR OPERATOR D2 OR SIMILAR SIZE (COMMERCIAL CONSTRU	ICTION ON V			
	CHING MACHINE (SEWER, WATER, GAS) EXCLUDES WALK BEH	,			
540 INEN	CHING WACHINE (SEWER, WATER, GAS) EXCEDDES WAER BEI	IIND INCIDEN			
GROUP 7		2019-12-16	37.45	20.55	58.00
		2020-05-01	38.30	21.70	60.00
541 AIR C	OMPRESSOR 600 CFM OR OVER (COMMERCIAL CONSTRUCTIO				
	EPERSON (COMMERCIAL CONSTRUCTION ONLY)				
	RETE PUMP/PUMPCRETE OR COMPLACO TYPE (COMMERCIAL	. CONSTRUCTION ONLY)			
	PERSON, TEMPORARY HEAT SECOND CLASS BOILER LICENSE	,	JCTION ONLY)		
545 OILER	R (POWER SHOVEL, CRANE, TRUCK CRANE, DRAGLINE, CRUSH PMENT) (COMMERCIAL CONSTRUCTION ONLY)	•			OWER
546 PICK	JP SWEEPER (ONE CUBIC YARD HOPPER CAPACITY) (COMME	RCIAL CONSTRUCTION C	NLY)		
547 PUMP	AND/OR CONVEYOR (COMMERCIAL CONSTRUCTION ONLY)				
GROUP 8		2019-12-16	35.44	20.55	55.99
		2020-05-01	36.29	21.70	57.99
548 ELEV	ATOR OPERATOR (COMMERCIAL CONSTRUCTION ONLY)				
549 GREA	SER (COMMERCIAL CONSTRUCTION ONLY)				
550 MECH	IANICAL SPACE HEATER (TEMPORARY HEAT NO BOILER LICEN	SE REQUIRED) (COMME	RCIAL CONST	RUCTION ONL	.Y)
TRUCK DR	IVERS				
GROUP 1 *		2019-12-16	32.85	19.00	51.85
OKOUF I					
604 1450	IANIC WELDED	2020-05-01	33.65	19.95	53.60
	IANIC . WELDER				
	TOR TRAILER DRIVER	IAND AND BOWER OFF	ATED \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	.0)	
603 TRUC	K DRIVER (HAULING MACHINERY INCLUDING OPERATION OF F	IAND AND POWER OPER	A l'ED WINCHE	:S)	
GROUP 2		2019-12-16	29.70	16.60	46.30

LABOR CODE AND CLASS	EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
604 FOUR OR MORE AXLE UNIT, STRAIGHT BODY TRUCK				
GROUP 3	2019-12-16	32.20	19.00	51.20
	2020-05-01	33.00	19.95	52.95
605 BITUMINOUS DISTRIBUTOR DRIVER				
606 BITUMINOUS DISTRIBUTOR (ONE PERSON OPERATION)				
607 THREE AXLE UNITS				
GROUP 4 *	2019-12-16	25.10	10.85	35.95
608 BITUMINOUS DISTRIBUTOR SPRAY OPERATOR (REAR AND OILER)				
609 DUMP PERSON				
610 GREASER				
611 PILOT CAR DRIVER				
612 RUBBER-TIRED, SELF-PROPELLED PACKER UNDER 8 TONS				
613 TWO AXLE UNIT				
614 SLURRY OPERATOR				
615 TANK TRUCK HELPER (GAS, OIL, ROAD OIL, AND WATER) 616 TRACTOR OPERATOR, UNDER 50 H.P.				
The Third of Environ, on benedicting .				
SPECIAL CRAFTS				
701 HEATING AND FROST INSULATORS	2019-12-16	42.31	19.55	61.86
702 BOILERMAKERS	2019-12-16	38.33	27.43	65.76
703 BRICKLAYERS	2019-12-16	34.71	26.92	61.63
	2020-05-01	37.21	26.92	64.13
704 CARPENTERS	2019-12-16	31.10	20.58	51.68
705 CARPET LAYERS (LINOLEUM)	2019-12-16	33.63	18.56	52.19
	2020-05-01	35.68	18.56	54.24
706 CEMENT MASONS	2019-12-16	33.41	19.33	52.74
707 ELECTRICIANS	2019-12-16	38.44	27.12	65.56
	2020-05-31	40.04	27.84	67.88
700 FLEVATOR CONCTRUCTORS	2040 42 46	40.04	20.24	90.45
708 ELEVATOR CONSTRUCTORS	2019-12-16	49.91	39.24	89.15
	2020-01-01	51.55	40.48	92.03
709 GLAZIERS	2019-12-16	31.69	20.65	52.34
710* LATHERS	2019-12-16	31.44	18.43	49.87
712 IPONIMORKERS	2010 12 16	33.19	20.40	62 50
712 IRONWORKERS	2019-12-16		29.40	62.59
	2020-05-01	35.29	29.40	64.69
714 MILLWRIGHT	2019-12-16	35.10	19.90	55.00
	2020-05-01	37.15	19.90	57.05

	LABOR CODE AND CLASS		EFFECT DATE	BASIC RATE	FRINGE RATE	TOTAL RATE
715	PAINTERS (INCLUDING HAND BRUSHED, HAND SPRAYED, AND THE TAPING OF PAVEMENT MARKINGS)		2019-12-16	31.39	19.99	51.38
716	PILEDRIVER (INCLUDING VIBRATORY DRIVER OR EXTRACTOR FOR PILING AND SHEETING OPERATIONS)		2019-12-16	38.91	22.08	60.99
717	PIPEFITTERS . STEAMFITTERS	+\$200,000	2019-12-16	42.60	22.25	64.85
		-\$200,000	2019-12-16	39.90	21.25	61.15
718	PLASTERERS		2019-12-16	34.09	20.08	54.17
			2020-05-01	35.69	20.08	55.77
719	PLUMBERS		2019-12-16	41.02	22.03	63.05
720	ROOFER		2019-12-16	34.15	17.94	52.09
			2020-07-01	35.55	17.94	53.49
721	SHEET METAL WORKERS		2019-12-16	34.86	28.12	62.98
722	SPRINKLER FITTERS		2019-12-16	37.58	19.49	57.07
723	TERRAZZO WORKERS		2019-12-16	38.91	19.40	58.31
724	TILE SETTERS		2019-12-16	27.44	24.79	52.23
725	TILE FINISHERS		2019-12-16	19.70	20.38	40.08
726	DRYWALL TAPER		2019-12-16	31.99	19.99	51.98
727	WIRING SYSTEM TECHNICIAN		2019-12-16	40.17	17.63	57.80
			2020-07-01	41.42	17.63	59.05
728	WIRING SYSTEMS INSTALLER		2019-12-16	28.14	14.71	42.85
			2020-07-01	29.02	14.71	43.73
729	ASBESTOS ABATEMENT WORKER		2019-12-16	31.78	19.35	51.13
			2020-01-01	32.73	20.00	52.73
730	SIGN ERECTOR		2019-12-16	29.78	15.59	45.37